

Form

990

Department of the Treasury
Internal Revenue Service

Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundation): Do not enter social security numbers on this form as it may be made public.
Go to www.irs.gov/Form990 for instructions and the latest information.

OMB No. 1545-0047

2022

Open to Public Inspection

A For the 2022 calendar year, or tax year beginning 05-01-2022 , and ending 04-30-2023

B Check if applicable:
☐ Address change
☐ Name change
☐ Initial return
☐ Final return/terminated
☐ Amended return
☐ Application pending

C Name of organization
BROCK UNIVERSITY

Doing business as

Number and street (or P.O. box if mail is not delivered to street address) Room/suite
1812 SIR ISAAC BROCK WAY

City or town, state or province, country, and ZIP or foreign postal code
ST CATHARINES, ON L2S 3A1
Canada

F Name and address of principal officer:
LESLEY RIGG
1812 SIR ISAAC BROCK WAY
ST CATHARINES,ON L2S 3A1
CA

H(a) Is this a group return for subordinates?
☐ Yes ☒ No
H(b) Are all subordinates included?
☐ Yes ☐ No
If "No," attach a list. See instructions.
H(c) Group exemption number ▶

D Employer identification number
98-0052780

E Telephone number
(905) 688-5550

G Gross receipts \$ 291,098,271

I Tax-exempt status: ☒ 501(c)(3) ☐ 501(c) () ◀(insert no.) ☐ 4947(a)(1) or ☐ 527

J Website: ▶ WWW.BROCKU.CA

K Form of organization: ☒ Corporation ☐ Trust ☐ Association ☐ Other ▶

L Year of formation: 1964

M State of legal domicile: CA

Part I Summary

Activities & Governance

1 Briefly describe the organization's mission or most significant activities:
SEE SCHEDULE O

2 Check this box ☐ if the organization discontinued its operations or disposed of more than 25% of its net assets.

3 Number of voting members of the governing body (Part VI, line 1a)326

4 Number of independent voting members of the governing body (Part VI, line 1b)420

5 Total number of individuals employed in calendar year 2022 (Part V, line 2a)5,954

6 Total number of volunteers (estimate if necessary)800

7a Total unrelated business revenue from Part VIII, column (C), line 120

7b Net unrelated business taxable income from Form 990-T, Part I, line 110

Revenue

8 Contributions and grants (Part VIII, line 1h)89,110,53085,872,381

9 Program service revenue (Part VIII, line 2g)160,214,246151,695,723

10 Investment income (Part VIII, column (A), lines 3, 4, and 7d)9,560,3168,908,648

11 Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)32,532,71940,443,694

12 Total revenue—add lines 8 through 11 (must equal Part VIII, column (A), line 12)291,417,811286,920,446

Expenses

13 Grants and similar amounts paid (Part IX, column (A), lines 1–3)21,886,79520,769,981

14 Benefits paid to or for members (Part IX, column (A), line 4)00

15 Salaries, other compensation, employee benefits (Part IX, column (A), lines 5–10)179,931,063179,786,711

16a Professional fundraising fees (Part IX, column (A), line 11e)00

16b Total fundraising expenses (Part IX, column (D), line 25) ▶1,959,087

17 Other expenses (Part IX, column (A), lines 11a–11d, 11f–24e)83,538,88188,977,937

18 Total expenses. Add lines 13–17 (must equal Part IX, column (A), line 25)285,356,739289,534,629

19 Revenue less expenses. Subtract line 18 from line 126,061,072-2,614,183

Net Assets or Fund Balances

20 Total assets (Part X, line 16)670,515,983619,206,705

21 Total liabilities (Part X, line 26)473,448,445424,543,237

22 Net assets or fund balances. Subtract line 21 from line 20197,067,538194,663,468

Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Sign Here

Signature of officer
JOSHUA TONNOS CFO & AVP FINANCE
Type or print name and title

2024-03-13
Date

Paid Preparer Use Only

Print/Type preparer's name
Firm's name ▶ KPMG LLP
Firm's address ▶ 60 SOUTH STREET TWO FINANCIAL CENTER
BOSTON, MA 02111

Preparer's signature
Firm's EIN ▶ 13-5565207
Phone no. (617) 988-1000

Date
2024-03-11
Check ☐ if self-employed
PTIN
P01638794

May the IRS discuss this return with the preparer shown above? See Instructions.☒ Yes ☐ No

For Paperwork Reduction Act Notice, see the separate instructions. Cat. No. 11282Y Form 990 (2022)

Part III

Statement of Program Service Accomplishments

Check if Schedule O contains a response or note to any line in this Part III

☐

1

Briefly describe the organization’s mission:

SEE SCHEDULE O

2

Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ?

☐ Yes ☒ No

If "Yes," describe these new services on Schedule O.

3

Did the organization cease conducting, or make significant changes in how it conducts, any program services?

☐ Yes ☒ No

If "Yes," describe these changes on Schedule O.

4

Describe the organization’s program service accomplishments for each of its three largest program services, as measured by expenses. Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported.

4a

(Code:) (Expenses \$ 224,786,352 including grants of \$ 20,769,981) (Revenue \$ 181,047,265)

INCORPORATED IN 1964, BROCK UNIVERSITY HAS CONTINUALLY DEVELOPED RIGOROUS UNDERGRADUATE AND GRADUATE PROGRAMS, INCLUDING EXPERIENTIAL LEARNING OPPORTUNITIES. BROCK IS A COMPREHENSIVE UNIVERSITY WITH STRONG ACADEMIC PROGRAMMING AND CUTTING EDGE RESEARCH DONE IN WORLD-CLASS FACILITIES. BROCK OFFERS MORE THAN 130 UNDERGRADUATE AND GRADUATE PROGRAMS.TOTAL STUDENT HEADCOUNT FOR 2022-23 WAS OVER 19,100 STUDENTS. BROCK HAS 7 ACADEMIC FACULTIES: APPLIED HEALTH SCIENCES, GOODMAN SCHOOL OF BUSINESS, EDUCATION, HUMANITIES, MATHEMATICS AND SCIENCE, SOCIAL SCIENCES AND GRADUATE STUDIES. BROCK HAS A TOTAL FACULTY OF 600 AND 12 RESEARCH CHAIRS.

4b

(Code:) (Expenses \$ 10,222,470 including grants of \$) (Revenue \$ 10,514,683)

RESEARCHBROCK'S TRANSDISCIPLINARY COMMUNITY-BASED RESEARCH SUPPORTS THE REGION'S EMERGING INDUSTRY CLUSTERS SUCH AS BIOMANUFACTURING, DIGITAL MEDIA, GREEN TECHNOLOGY, AND LIFE SCIENCES. THROUGH THE MAIN RESEARCH INSTITUTES AND CENTRES AT BROCK, WE FOSTER INTELLECTUAL ENVIRONMENTS IN WHICH RESEARCHERS ACROSS DISCIPLINES COMBINE THEIR EXPERTISE TO CREATE NEW FORMS OF INQUIRY AND NEW TYPES OF SOLUTIONS. MOST OF BROCK'S EXTERNAL RESEARCH REVENUE IS FROM THE TRI-COUNCIL (CIHR, NSERC, AND SSHRC).

4c

(Code:) (Expenses \$ including grants of \$) (Revenue \$)

4d

Other program services (Describe in Schedule O.)

(Expenses \$ including grants of \$) (Revenue \$)

4e

Total program service expenses ► 235,008,822

Part IV

Checklist of Required Schedules

	Yes	No
1 Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? If "Yes," complete Schedule A	1 Yes	
2 Is the organization required to complete Schedule B, Schedule of Contributors? See instructions.	2 Yes	
3 Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? If "Yes," complete Schedule C, Part I	3	No
4 Section 501(c)(3) organizations. Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? If "Yes," complete Schedule C, Part II	4	No
5 Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Rev. Proc. 98-19? If "Yes," complete Schedule C, Part III	5	No
6 Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? If "Yes," complete Schedule D, Part I	6	No
7 Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? If "Yes," complete Schedule D, Part II	7	No
8 Did the organization maintain collections of works of art, historical treasures, or other similar assets? If "Yes," complete Schedule D, Part III	8 Yes	
9 Did the organization report an amount in Part X, line 21 for escrow or custodial account liability; serve as a custodian for amounts not listed in Part X; or provide credit counseling, debt management, credit repair, or debt negotiation services? If "Yes," complete Schedule D, Part IV	9	No
10 Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi endowments? If "Yes," complete Schedule D, Part V	10 Yes	
11 If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X, as applicable.		
a Did the organization report an amount for land, buildings, and equipment in Part X, line 10? If "Yes," complete Schedule D, Part VI	11a Yes	
b Did the organization report an amount for investments—other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VII	11b	No
c Did the organization report an amount for investments—program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VIII	11c	No
d Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part IX	11d	No
e Did the organization report an amount for other liabilities in Part X, line 25? If "Yes," complete Schedule D, Part X	11e Yes	
f Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)?	11f	No
12a If "Yes," complete Schedule D, Part XI. Did the organization prepare, or obtain separate, independent audited financial statements for the tax year? If "Yes," complete Schedule D, Parts XI and XII	12a Yes	
b Was the organization included in consolidated, independent audited financial statements for the tax year? If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional	12b	No
13 Is the organization a school described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E	13 Yes	
14a Did the organization maintain an office, employees, or agents outside of the United States?	14a Yes	
b Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000 or more? If "Yes," complete Schedule F, Parts I and IV	14b Yes	
15 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or other assistance to or for any foreign organization? If "Yes," complete Schedule F, Parts II and IV	15	No
16 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or other assistance to or for foreign individuals? If "Yes," complete Schedule F, Parts III and IV	16 Yes	
17 Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? If "Yes," complete Schedule G, Part I. See instructions.	17	No
18 Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? If "Yes," complete Schedule G, Part II	18 Yes	
19 Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? If "Yes," complete Schedule G, Part III	19	No
20a Did the organization operate one or more hospital facilities? If "Yes," complete Schedule H	20a	No
b If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return?	20b	
21 Did the organization report more than \$5,000 of grants or other assistance to any domestic organization or domestic government on Part IX, column (A), line 1? If "Yes," complete Schedule I, Parts I and II	21	No

Part IV

Checklist of Required Schedules (continued)

		Yes	No	
22	Did the organization report more than \$5,000 of grants or other assistance to or for domestic individuals on Part IX, column (A), line 2? If "Yes," complete Schedule I, Parts I and III	22	Yes	
23	Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5, about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? If "Yes," complete Schedule J	23	Yes	
24a	Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to line 25a	24a		No
b	Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?	24b		
c	Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?	24c		
d	Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?	24d		
25a	Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations. Did the organization engage in an excess benefit transaction with a disqualified person during the year? If "Yes," complete Schedule L, Part I	25a		No
b	Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? If "Yes," complete Schedule L, Part I	25b		No
26	Did the organization report any amount on Part X, line 5 or 22 for receivables from or payables to any current or former officer, director, trustee, key employee, creator or founder, substantial contributor, or 35% controlled entity or family member of any of these persons?	26		No
27	If "Yes," complete Schedule L, Part I. Did the organization provide a grant or other assistance to any current or former officer, director, trustee, key employee, creator or founder, substantial contributor, or employee thereof, a grant selection committee member, or to a 35% controlled entity (including an employee thereof) or family member of any of these persons? If "Yes," complete Schedule L, Part III	27		No
28	Was the organization a party to a business transaction with one of the following parties (see the Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions):			
a	A current or former officer, director, trustee, key employee, creator or founder, or substantial contributor? If "Yes," complete Schedule L, Part IV	28a		No
b	A family member of any individual described in line 28a? If "Yes," complete Schedule L, Part IV	28b		No
c	A 35% controlled entity of one or more individuals and/or organizations described in line 28a or 28b? If "Yes," complete Schedule L, Part IV	28c		No
29	Did the organization receive more than \$25,000 in non-cash contributions? If "Yes," complete Schedule M	29	Yes	
30	Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions?	30	Yes	
31	If "Yes," complete Schedule M. Did the organization liquidate, terminate, or dissolve and cease operations? If "Yes," complete Schedule N, Part I	31		No
32	Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? If "Yes," complete Schedule N, Part II	32		No
33	Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3?	33		No
34	Was the organization related to any tax-exempt or taxable entity? If "Yes," complete Schedule R, Part II, III, or IV, and Part V, line 1	34		No
35a	Did the organization have a controlled entity within the meaning of section 512(b)(13)?	35a		No
b	If "Yes" to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? If "Yes," complete Schedule R, Part V, line 2	35b		
36	Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization? If "Yes," complete Schedule R, Part V, line 2	36		No
37	Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? If "Yes," complete Schedule R, Part VI	37		No
38	Did the organization complete Schedule O and provide explanations on Schedule O for Part VI, lines 11b and 19? Note. All Form 990 filers are required to complete Schedule O.	38	Yes	

Part V

Statements Regarding Other IRS Filings and Tax Compliance

Check if Schedule O contains a response or note to any line in this Part V ☒

		Yes	No	
1a	Enter the number reported in box 3 of Form 1096. Enter -0- if not applicable	1a		0
b	Enter the number of Forms W-2G included on line 1a. Enter -0- if not applicable	1b		0
c	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?	1c		

Part V		Statements Regarding Other IRS Filings and Tax Compliance (continued)						
2a		Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return		2a	5,954			
b		If at least one is reported on line 2a, did the organization file all required federal employment tax returns?		2b				No
3a		Did the organization have unrelated business gross income of \$1,000 or more during the year?		3a				No
b		If "Yes," has it filed a Form 990-T for this year? If "No" to line 3b, provide an explanation in Schedule O		3b				
4a		At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)?		4a	Yes			
b		If "Yes," enter the name of the foreign country: C A						
5a		See instructions for filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR). Did the organization a party to a prohibited tax shelter transaction at any time during the tax year? . . .		5a				No
b		Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?		5b				No
c		If "Yes," to line 5a or 5b, did the organization file Form 8886-T?		5c				
6a		Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit any contributions that were not tax deductible as charitable contributions?		6a				No
b		If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?		6b				
7		Organizations that may receive deductible contributions under section 170(c).						
a		Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor?		7a				No
b		If "Yes," did the organization notify the donor of the value of the goods or services provided?		7b				
c		Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?		7c				No
d		If "Yes," indicate the number of Forms 8282 filed during the year		7d				
e		Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?		7e				
f		Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		7f				
g		If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required?		7g				
h		If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C?		7h				
8		Sponsoring organizations maintaining donor advised funds. Did a donor advised fund maintained by the sponsoring organization have excess business holdings at any time during the year?		8				
9		Sponsoring organizations maintaining donor advised funds.						
a		Did the sponsoring organization make any taxable distributions under section 4966?		9a				
b		Did the sponsoring organization make a distribution to a donor, donor advisor, or related person?		9b				
10		Section 501(c)(7) organizations. Enter:						
a		Initiation fees and capital contributions included on Part VIII, line 12		10a				
b		Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities		10b				
11		Section 501(c)(12) organizations. Enter:						
a		Gross income from members or shareholders		11a				
b		Gross income from other sources. (Do not net amounts due or paid to other sources against amounts due or received from them.)		11b				
12a		Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041?		12a				
b		If "Yes," enter the amount of tax-exempt interest received or accrued during the year.		12b				
13		Section 501(c)(29) qualified nonprofit health insurance issuers.						
a		Is the organization licensed to issue qualified health plans in more than one state?		13a				
		Note. See the instructions for additional information the organization must report on Schedule O.						
b		Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans		13b				
c		Enter the amount of reserves on hand		13c				
14a		Did the organization receive any payments for indoor tanning services during the tax year?		14a				No
b		If "Yes," has it filed a Form 720 to report these payments? If "No," provide an explanation in Schedule O		14b				
15		Is the organization subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year?		15				No
16		Is the organization subject to the section 4968 excise tax on net investment income?		16				No
17		Section 501(c)(21) organizations. Did the trust, or any disqualified or other person engage in any activities that would result in the imposition of an excise tax under section 4951, 4952, or 4953?		17				
		If "Yes," complete Form 6069.						

Part VI

Governance, Management, and Disclosure. For each "Yes" response to lines 2 through 7b below, and for a "No" response to lines 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.

Check if Schedule O contains a response or note to any line in this Part VI

Section A. Governing Body and Management

		Yes	No
1a	Enter the number of voting members of the governing body at the end of the tax year. If there are material differences in voting rights among members of the governing body, or if the governing body delegated broad authority to an executive committee or similar committee, explain in Schedule O.		
1b	Enter the number of voting members included in line 1a, above, who are independent		
2	Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?		No
3	Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors or trustees, or key employees to a management company or other person?		No
4	Did the organization make any significant changes to its governing documents since the prior Form 990 was filed?		No
5	Did the organization become aware during the year of a significant diversion of the organization's assets?		No
6	Did the organization have members or stockholders?		No
7a	Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body?		No
7b	Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body?		No
8	Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following:		
8a	The governing body?	Yes	
8b	Each committee with authority to act on behalf of the governing body?	Yes	
9	Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O		No

Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code.)

	Yes	No
10a	Did the organization have local chapters, branches, or affiliates?	No
10b	If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes?	
11a	Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form?	No
	Describe on Schedule O the process, if any, used by the organization to review this Form 990.	
12a	Did the organization have a written conflict of interest policy? If "No," go to line 13	Yes
12b	Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts?	Yes
12c	Did the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe on Schedule O how this was done	Yes
13	Did the organization have a written whistleblower policy?	No
14	Did the organization have a written document retention and destruction policy?	Yes
15	Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?	
15a	The organization's CEO, Executive Director, or top management official	Yes
15b	Other officers or key employees of the organization	Yes
	If "Yes" to line 15a or 15b, describe the process on Schedule O. See instructions.	
16a	Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?	No
16b	If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements?	

Section C. Disclosure

17 List the states with which a copy of this Form 990 is required to be filed

18 Section 6104 requires an organization to make its Form 1023 (1024 or 1024-A, if applicable), 990, and 990-T (section 501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply.

☐ Own website ☐ Another's website ☒ Upon request ☐ Other (explain in Schedule O)

19 Describe in Schedule O whether (and if so, how) the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year.

20 State the name, address, and telephone number of the person who possesses the organization's books and records:

BROCK UNIVERSITY 1812 SIR ISAAC BROCK WAY ST CATHARINES, ONTARIO L2S 3A1 CA

Part VII

Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

Check if Schedule O contains a response or note to any line in this Part VII

Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

1a Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization’s tax year.

- List all of the organization’s **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.
- List all of the organization’s **current** key employees, if any. See the instructions for definition of "key employee."
- List the organization’s five **current** highest compensated employees (other than an officer, director, trustee or key employee) who received reportable compensation (box 5 of Form W-2, box 6 of Form 1099-MISC, and/or box 1 of Form 1099-NEC) of more than \$100,000 from the organization and any related organizations.
- List all of the organization’s **former** officers, key employees, or highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations.
- List all of the organization’s **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations.

See the instructions for the order in which to list the persons above.

☐ Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee.

(A) Name and title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC/1099-NEC)	(E) Reportable compensation from related organizations (W-2/1099-MISC/1099-NEC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee;	Officer	Key employee	Highest compensated employee	Former			
(1) LYNN WELLS PROVOST & VP (UNTIL 10/31/2022)	35.00 0.00	X		X				262,752	0	19,431
(2) ANTENEH AYANSO FACULTY TRUSTEE (AS OF 7/1/2022)	35.00 0.00	X						200,137	0	18,743
(3) DON CYR FACULTY TRUSTEE	40.00 0.00	X						173,696	0	19,417
(4) MIRIAM RICHARDS FACULTY TRUSTEE (UNTIL 6/30/2022)	40.00 0.00	X						135,799	0	17,769
(5) DAN MALLECK FACULTY TRUSTEE (AS OF 7/1/2022)	35.00 0.00	X						129,153	0	12,336
(6) BRADLEY CLARKE STAFF TRUSTEE (UNTIL 6/1/2022)	35.00 0.00	X						107,617	0	14,239
(7) MATTHEW MELYNK STAFF TRUSTEE (AS OF 7/1/2022)	35.00 0.00	X						85,329	0	12,233
(8) TRICIA MCLENNON STAFF TRUSTEE	35.00 0.00	X						69,702	0	10,580
(9) LESLEY RIGG PRESIDENT & VC (AS OF 11/1/2022)	35.00 0.00	X		X				32,493	0	9,137
(10) HAYLEY MYATT TRUSTEE (5/1/2022 - 4/30/2023)	1.00 0.00	X						61	0	0
(11) ANNE MCCOURT TRUSTEE	1.00 0.00	X						0	0	0
(12) ARTI FREEMAN TRUSTEE	1.00 0.00	X						0	0	0
(13) BILL MAURIN TRUSTEE (UNTIL 6/30/2022)	1.00 0.00	X						0	0	0
(14) BRIAN LANG TRUSTEE	1.00 0.00	X						0	0	0
(15) CHRISTINE SAWCHUK TRUSTEE (AS OF 7/1/2022)	1.00 0.00	X						0	0	0
(16) DEBBIE ZIMMERMANN TRUSTEE	1.00 0.00	X						0	0	0
(17) ERIN MATHANY TRUSTEE (UNTIL 6/30/2022)	1.00 0.00	X						0	0	0

Part VII

Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees (continued)

(A) Name and title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC/1099-NEC)	(E) Reportable compensation from related organizations (W-2/1099-MISC/1099-NEC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee;	Officer	Key employee	Highest compensated employee	Former			
(18) GARY COMERFORD TRUSTEE (UNTIL 6/30/2022)	1.00 0.00	X						0	0	0
(19) GREG BERTI TRUSTEE	1.00 0.00	X						0	0	0
(20) GREG PLATA TRUSTEE (AS OF 7/1/2022)	1.00 0.00	X						0	0	0
(21) JOANNA ROBERTO TRUSTEE	1.00 0.00	X						0	0	0
(22) JONATHAN DAVEY TRUSTEE (AS OF 7/1/2022)	1.00 0.00	X						0	0	0
(23) KEVIN MAGEE TRUSTEE (AS OF 7/1/2022)	1.00 0.00	X						0	0	0
(24) KRISTIAN KNIBUTAT TRUSTEE	1.00 0.00	X						0	0	0
(25) MARK ARTHUR TRUSTEE	1.00 0.00	X						0	0	0
(26) MARY DESOUSA TRUSTEE	1.00 0.00	X						0	0	0
(27) MARYLEE O'NEILL TRUSTEE (UNTIL 6/30/2022)	1.00 0.00	X						0	0	0
(28) NYARAYI KAPISAVANHU TRUSTEE (AS OF 7/1/2022)	1.00 0.00	X						0	0	0
(29) REJEANNE AIMEY TRUSTEE (AS OF 7/1/2022)	1.00 0.00	X						0	0	0
(30) ROBERT WELCH TRUSTEE	1.00 0.00	X						0	0	0
(31) STEPHANIE THOMPSON TRUSTEE	1.00 0.00	X						0	0	0
(32) CHRISTOPHER YENDT STUDENT TRUSTEE (UNTIL 6/30/2022)	1.00 0.00	X						0	0	0
(33) FATEN DARBAJ STUDENT TRUSTEE (5/1/2022 - 8/30/2022)	1.00 0.00	X						0	0	0
(34) HILARY PEARSON CHANCELLOR	1.00 0.00	X		X				0	0	0
(35) YASMINE HEJAZI STUDENT TRUSTEE (9/1/2022 - 4/30/2023)	1.00 0.00	X						0	0	0
(36) TIM KENYON VICE-PRESIDENT, RESEARCH	35.00 0.00			X				186,332	0	19,431
(37) KEN CHAN VICE-PRESIDENT, ADMIN	35.00 0.00			X				184,679	0	16,512
(38) CHABRIOL COLEBATCH SECRETARY	35.00 0.00			X				127,078	0	15,688
(39) SIMON BURKE SECRETARY (AS OF 9/29/2022)	35.00 0.00			X				28,567	0	3,275
(40) DIRK DECLERCQ PROFESSOR	40.00 0.00					X		270,076	0	19,417
(41) MARTIN KUSY PROFESSOR	40.00 0.00					X		238,478	0	5,364
(42) WALID BEN OMRANE PROFESSOR	40.00 0.00					X		204,086	0	18,242
(43) FAYEZ ELAYAN PROFESSOR	40.00 0.00					X		198,394	0	19,417
(44) EUGENE KACIAK PROFESSOR	40.00 0.00					X		205,699	0	5,364
(45) JENS COORSSSEN PROFESSOR	40.00 0.00						X	162,139	0	19,175
(46) GREG FINN SPECIAL ADVISOR	35.00 0.00						X	193,666	0	19,417
(47) GARY LIBBEN PROFESSOR	40.00 0.00						X	166,758	0	19,295
(48) TOM DUNK PROFESSOR	40.00 0.00						X	159,575	0	18,890

1b Sub-Total	▶		
c Total from continuation sheets to Part VII, Section A . . .	▶		
d Total (add lines 1b and 1c)	▶	3,522,266	0 333,372

2

Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization ▶ 654

		Yes	No
3	Did the organization list any former officer, director or trustee, key employee, or highest compensated employee on line 1a? <i>If "Yes," complete Schedule J for such individual</i>	3 Yes	
4	For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? <i>If "Yes," complete Schedule J for such individual</i>	4 Yes	
5	Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? <i>If "Yes," complete Schedule J for such person</i>	5	No

Section B. Independent Contractors

1

Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

(A) Name and business address	(B) Description of services	(C) Compensation
GARDA 54 CENTENNIAL PKWY NORTH HAMILTON, ONTARIO L8E 1H6 CA	SECURITY	655,573
BESSELING MECHANICAL 79 COVINGTON ST HAMILTON, ONTARIO L8E 2Y4 CA	MECHANICAL SERVICES	595,737
GLACIER COMMUNICATIONS INC 65 BROADWAY 1802 NEW YORK 10006 OC	MARKETING	565,880
WORKDAY LIMITED THE KINGS BUILDING 152-155 CHURCH DUBLIN D07 A0TN EI	SOFTWARE	477,507
TELUS HEALTH (CANADA) LTD 25 YORK ST 29 FLOOR TORONTO, ONTARIO M5J 2V5 CA	COUNSELING/CONSULTING	341,296
2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 of compensation from the organization ▶ 27		

Part VIII

Statement of Revenue

Check if Schedule O contains a response or note to any line in this Part VIII

	(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512 - 514
Contributions, Gifts, Grants, and Other	1a Federated campaigns . . . b Membership dues . . . c Fundraising events . . . d Related organizations e Government grants (contributions) f All other contributions, gifts, grants, and similar amounts not included above g Noncash contributions included in lines 1a - 1f:\$ h Total. Add lines 1a-1f . . .	1a		
Amt Similar Amounts		1b		
		1c	51,259	
		1d		
		1e	78,644,057	
		1f	7,177,065	
		1g	1,282,183	
			85,872,381	

Program Service Revenue	2a	STUDENT FEES	Business Code				
			611710	141,181,040	141,181,040		
	b	RESEARCH	611710	10,514,683	10,514,683		
	c						
	d						
	e						
	f	All other program service revenue.					
	g	Total. Add lines 2a-2f.	151,695,723				

Other Revenue	3	Investment income (including dividends, interest, and other similar amounts)		8,633,985			8,633,985
	4	Income from investment of tax-exempt bond proceeds					
	5	Royalties		261,445			261,445
			(i) Real	(ii) Personal			
	6a	Gross rents	6a	785,154			
	b	Less: rental expenses	6b	455,261			
	c	Rental income or (loss)	6c	329,893			
	d	Net rental income or (loss)		329,893			329,893
			(i) Securities	(ii) Other			
	7a	Gross amount from sales of assets other than inventory	7a		752,500		
	b	Less: cost or other basis and sales expenses	7b		477,837		
	c	Gain or (loss)	7c		274,663		
	d	Net gain or (loss)		274,663			274,663
	8a	Gross income from fundraising events (not including \$ 51,259 of contributions reported on line 1c). See Part IV, line 18	8a	43,939			
	b	Less: direct expenses	8b	57,808			
	c	Net income or (loss) from fundraising events		-13,869			-13,869
	9a	Gross income from gaming activities. See Part IV, line 19	9a				
	b	Less: direct expenses	9b				
	c	Net income or (loss) from gaming activities					
	10a	Gross sales of inventory, less returns and allowances	10a	4,449,703			
	b	Less: cost of goods sold	10b	3,186,919			
	c	Net income or (loss) from sales of inventory		1,262,784	1,262,784		

Other	Revenue	Misc	Amt	Business Code				
				11a	ANCILLARY	611710	24,284,446	24,284,446
				b	SUNDRY	611710	14,318,995	14,318,995
				c				
				d	All other revenue			
				e	Total. Add lines 11a-11d		38,603,441	
				12	Total revenue. See instructions		286,920,446	191,561,948
								0

Part IX

Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).

Check if Schedule O contains a response or note to any line in this Part IX ☐

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1 Grants and other assistance to domestic organizations and domestic governments. See Part IV, line 21				
2 Grants and other assistance to domestic individuals. See Part IV, line 22	146,108	146,108		
3 Grants and other assistance to foreign organizations, foreign governments, and foreign individuals. See Part IV, lines 15 and 16.	20,623,873	20,623,873		
4 Benefits paid to or for members				
5 Compensation of current officers, directors, trustees, and key employees	1,623,439	502,986	1,120,453	
6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)				
7 Other salaries and wages	147,039,275	126,336,500	19,835,255	867,520
8 Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions)	10,089,603	8,608,480	1,422,245	58,878
9 Other employee benefits	9,616,825	8,205,105	1,355,601	56,119
10 Payroll taxes	11,417,569	9,741,505	1,609,437	66,627
11 Fees for services (non-employees):				
a Management				
b Legal	215,857	50,561	165,296	
c Accounting	155,097	19,637	135,460	
d Lobbying				
e Professional fundraising services. See Part IV, line 17				
f Investment management fees	2,665,155		2,665,155	
g Other (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Schedule O.)	2,315,236	1,358,577	942,697	13,962
12 Advertising and promotion	1,722,798	1,581,810	107,380	33,608
13 Office expenses	2,035,072	1,378,803	646,731	9,538
14 Information technology	3,217,526	2,336,729	775,619	105,178
15 Royalties				
16 Occupancy	11,688,264	4,367,516	7,266,102	54,646
17 Travel	2,523,266	2,228,829	101,585	192,852
18 Payments of travel or entertainment expenses for any federal, state, or local public officials				
19 Conferences, conventions, and meetings	323,786	283,728	34,135	5,923
20 Interest	7,743,894	515,978	7,227,916	
21 Payments to affiliates				
22 Depreciation, depletion, and amortization	24,406,446	23,991,789	381,699	32,958
23 Insurance	1,219,622	156,004	1,063,366	252
24 Other expenses. Itemize expenses not covered above (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O.)				
a MISCELLANEOUS	10,271,542	4,927,157	5,117,187	227,198
b RESEARCH	10,222,470	10,222,470		
c OTHER OPERATING SUPPLY	7,153,660	6,532,926	399,337	221,397
d MEMBERSHIP DUES	1,098,246	891,751	194,064	12,431
e All other expenses				
25 Total functional expenses. Add lines 1 through 24e	289,534,629	235,008,822	52,566,720	1,959,087
26 Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation. Check here ☐ if following SOP 98-2 (ASC 958-720).				

Part X

Balance Sheet

Check if Schedule O contains a response or note to any line in this Part IX

☐

				(A)		(B)	
				Beginning of year		End of year	
Assets	1	Cash—non-interest-bearing			1		
	2	Savings and temporary cash investments		76,627,467	2	50,747,818	
	3	Pledges and grants receivable, net		4,566,800	3	4,039,888	
	4	Accounts receivable, net		26,020,149	4	24,041,545	
	5	Loans and other receivables from any current or former officer, director, trustee, key employee, creator or founder, substantial contributor, or 35% controlled entity or family member of any of these persons			5		
	6	Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), and persons described in section 4958(c)(3)(B)			6		
	7	Notes and loans receivable, net			7		
	8	Inventories for sale or use		1,101,382	8	1,201,068	
	9	Prepaid expenses and deferred charges		654,714	9	654,529	
	10a	Land, buildings, and equipment: cost or other basis. Complete Part VI of Schedule D	10a	686,663,592			
	b	Less: accumulated depreciation	10b	310,691,283	399,609,334	10c	375,972,309
	11	Investments—publicly traded securities		161,936,137	11	155,258,934	
	12	Investments—other securities. See Part IV, line 11			12		
	13	Investments—program-related. See Part IV, line 11			13		
	14	Intangible assets			14		
	15	Other assets. See Part IV, line 11		0	15	7,290,614	
16	Total assets: Add lines 1 through 15 (must equal line 33)		670,515,983	16	619,206,705		
Liabilities	17	Accounts payable and accrued expenses		30,149,082	17	19,408,476	
	18	Grants payable			18		
	19	Deferred revenue		213,582,741	19	197,337,210	
	20	Tax-exempt bond liabilities			20		
	21	Escrow or custodial account liability. Complete Part IV of Schedule D			21		
	22	Loans and other payables to any current or former officer, director, trustee, key employee, creator or founder, substantial contributor, or 35% controlled entity or family member of any of these persons			22		
	23	Secured mortgages and notes payable to unrelated third parties		203,358,480	23	185,929,393	
	24	Unsecured notes and loans payable to unrelated third parties			24		
	25	Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17 - 24). Complete Part X of Schedule D		26,358,142	25	21,868,158	
	26	Total liabilities. Add lines 17 through 25		473,448,445	26	424,543,237	
Net Assets or Fund Balances	Organizations that follow FASB ASC 958, check here ☒ and complete lines 27, 28, 32, and 33.						
	27	Net assets without donor restrictions		80,803	27	76,486	
	28	Net assets with donor restrictions		196,986,735	28	194,586,982	
	Organizations that do not follow FASB ASC 958, check here ☐ and complete lines 29 through 33.						
	29	Capital stock or trust principal, or current funds			29		
	30	Paid-in or capital surplus, or land, building or equipment fund			30		
	31	Retained earnings, endowment, accumulated income, or other funds			31		
	32	Total net assets or fund balances		197,067,538	32	194,663,468	
	33	Total liabilities and net assets/fund balances		670,515,983	33	619,206,705	

Part XI

Reconciliation of Net Assets

Check if Schedule O contains a response or note to any line in this Part XI

1	Total revenue (must equal Part VIII, column (A), line 12)	1	286,920,446
2	Total expenses (must equal Part IX, column (A), line 25)	2	289,534,629
3	Revenue less expenses. Subtract line 2 from line 1	3	-2,614,183
4	Net assets or fund balances at beginning of year (must equal Part X, line 32, column (A))	4	197,067,538
5	Net unrealized gains (losses) on investments	5	4,031,895
6	Donated services and use of facilities	6	
7	Investment expenses	7	
8	Prior period adjustments	8	-299
9	Other changes in net assets or fund balances (explain in Schedule O)	9	-3,821,483
10	Net assets or fund balances at end of year. Combine lines 3 through 9 (must equal Part X, line 32, column (A))	10	194,663,468

Part XII

Financial Statements and Reporting

Check if Schedule O contains a response or note to any line in this Part XII

	Yes	No
1 Accounting method used to prepare the Form 990: ☐ Cash ☒ Accrual ☐ Other _____ If the organization changed its method of accounting from a prior year or checked "Other," explain on Schedule O.		
2a Were the organization's financial statements compiled or reviewed by an independent accountant? If 'Yes,' check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both: ☐ Separate basis ☐ Consolidated basis ☐ Both consolidated and separate basis		No
b Were the organization's financial statements audited by an independent accountant? If 'Yes,' check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both: ☒ Separate basis ☐ Consolidated basis ☐ Both consolidated and separate basis	Yes	
c If "Yes," to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O.	Yes	
3a As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Uniform Guidance, 2 C.F.R. Part 200, Subpart F?		No
b If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits.		

Additional Data

Return to Form

Software ID:

Software Version:

Form 990, Special Condition Description:

Special Condition Description

Part I Reason for Public Charity Status (All organizations must complete this part.) See instructions.

The organization is not a private foundation because it is: (For lines 1 through 12, check only one box.)

- 1

☐

A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i).**
- 2

☒

A school described in **section 170(b)(1)(A)(ii).** (Attach Schedule E (Form 990).)
- 3

☐

A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii).**
- 4

☐

A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii).** Enter the hospital's name, city, and state:
- 5

☐

An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv).** (Complete Part II.)
- 6

☐

A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v).**
- 7

☐

An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi).** (Complete Part II.)
- 8

☐

A community trust described in **section 170(b)(1)(A)(vi).** (Complete Part II.)
- 9

☐

An agricultural research organization described in **170(b)(1)(A)(ix)** operated in conjunction with a land-grant college or university or a non-land grant college of agriculture. See instructions. Enter the name, city, and state of the college or university:
- 10

☐

An organization that normally receives: (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions—subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See **section 509(a)(2).** (Complete Part III.)
- 11

☐

An organization organized and operated exclusively to test for public safety. See **section 509(a)(4).**
- 12

☐

An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in **section 509(a)(1)** or **section 509(a)(2).** See **section 509(a)(3).** Check the box on lines 12a through 12d that describes the type of supporting organization and complete lines 12e, 12f, and 12g.
- a

☐

Type I. A supporting organization operated, supervised, or controlled by its supported organization(s), typically by giving the supported organization(s) the power to regularly appoint or elect a majority of the directors or trustees of the supporting organization. **You must complete Part IV, Sections A and B.**
- b

☐

Type II. A supporting organization supervised or controlled in connection with its supported organization(s), by having control or management of the supporting organization vested in the same persons that control or manage the supported organization(s). **You must complete Part IV, Sections A and C.**
- c

☐

Type III functionally integrated. A supporting organization operated in connection with, and functionally integrated with, its supported organization(s) (see instructions). **You must complete Part IV, Sections A, D, and E.**
- d

☐

Type III non-functionally integrated. A supporting organization operated in connection with its supported organization(s) that is not functionally integrated. The organization generally must satisfy a distribution requirement and an attentiveness requirement (see instructions). **You must complete Part IV, Sections A and D, and Part V.**
- e

☐

Check this box if the organization received a written determination from the IRS that it is a Type I, Type II, Type III functionally integrated, or Type III non-functionally integrated supporting organization.
- f

Enter the number of supported organizations
- g

Provide the following information about the supported organization(s).

(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1- 10 above (see instructions))	(iv) Is the organization listed in your governing document?		(v) Amount of monetary support (see instructions)	(vi) Amount of other support (see instructions)
			Yes	No		
Total						

Part II

Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)
(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization failed to qualify under the tests listed below, please complete Part III.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ►	(a) 2018	(b) 2019	(c) 2020	(d) 2021	(e) 2022	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grant.") . .	83,221,548	81,753,667	90,980,266	89,110,530	85,872,381	430,938,392
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
3 The value of services or facilities furnished by a governmental unit to the organization without charge..						
4 Total. Add lines 1 through 3	83,221,548	81,753,667	90,980,266	89,110,530	85,872,381	430,938,392
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f) . .						41,337,886
6 Public support. Subtract line 5 from line 4.						389,600,506

Section B. Total Support

Calendar year (or fiscal year beginning in) ►	(a) 2018	(b) 2019	(c) 2020	(d) 2021	(e) 2022	(f) Total
7 Amounts from line 4. .	83,221,548	81,753,667	90,980,266	89,110,530	85,872,381	430,938,392
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources	8,592,313	6,288,791	10,727,466	7,417,703	9,680,584	42,706,857
9 Net income from unrelated business activities, whether or not the business is regularly carried on. .	0	22,185	1,157	8,121	0	31,463
10 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.). .						
11 Total support. Add lines 7 through 10						473,676,712

12 Gross receipts from related activities, etc. (see instructions)

12907,997,768

13 First 5 years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and **stop here**

☐

Section C. Computation of Public Support Percentage

14 Public support percentage for 2022 (line 6, column (f) divided by line 11, column (f))	14	82.250 %
15 Public support percentage for 2020 Schedule A, Part II, line 14	15	83.440 %

16a 33 1/3% support test—2022. If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and **stop here**. The organization qualifies as a publicly supported organization

☒

b 33 1/3% support test—2021. If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and **stop here**. The organization qualifies as a publicly supported organization

☐

17a 10%-facts-and-circumstances test—2022. If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and **stop here**. Explain in Part VI how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization

☐

b 10%-facts-and-circumstances test—2021. If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and **stop here**. Explain in Part VI how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization

☐

18 Private foundation. If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions

☐

Part III Support Schedule for Organizations Described in Section 509(a)(2)

(Complete only if you checked the box on line 10 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ►	(a) 2018	(b) 2019	(c) 2020	(d) 2021	(e) 2022	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.") .						
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						
3 Gross receipts from activities that are not an unrelated trade or business under section 513						
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
5 The value of services or facilities furnished by a governmental unit to the organization without charge						
6 Total. Add lines 1 through 5						
7a Amounts included on lines 1, 2, and 3 received from disqualified persons						
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year.						
c Add lines 7a and 7b. .						
8 Public support. (Subtract line 7c from line 6.)						

Section B. Total Support

Calendar year (or fiscal year beginning in) ►	(a) 2018	(b) 2019	(c) 2020	(d) 2021	(e) 2022	(f) Total
9 Amounts from line 6. . .						
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources . .						
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975.						
c Add lines 10a and 10b.						
11 Net income from unrelated business activities not included on line 10b, whether or not the business is regularly carried on.						
12 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.) . .						
13 Total support. (Add lines 9, 10c, 11, and 12.) .						
14 First 5 years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here. ☐						

Section C. Computation of Public Support Percentage

15 Public support percentage for 2022 (line 8, column (f) divided by line 13, column (f))	15	
16 Public support percentage from 2021 Schedule A, Part III, line 15	16	

Section D. Computation of Investment Income Percentage

17 Investment income percentage for 2022 (line 10c, column (f) divided by line 13, column (f))	17	
18 Investment income percentage from 2021 Schedule A, Part III, line 17	18	
19a 33 1/3% support tests—2022. If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization ☐		
b 33 1/3% support tests—2021. If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3% and line 18 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization ☐		
20 Private foundation. If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions ☐		

Part IV Supporting Organizations

(Complete only if you checked a box on line 12 of Part I. If you checked box 12a, of Part I, complete Sections A and B. If you checked box 12b, of Part I, complete Sections A and C. If you checked box 12c, of Part I, complete Sections A, D, and E. If you checked box 12d, of Part I, complete Sections A and D, and complete Part V.)

Section A. All Supporting Organizations

		Yes	No
1	Are all of the organization's supported organizations listed by name in the organization's governing documents? <i>If "No," describe in Part VI how the supported organizations are designated. If designated by class or purpose, describe the designation. If historic and continuing relationship, explain.</i>		
2	Did the organization have any supported organization that does not have an IRS determination of status under section 509(a)(1) or (2)? <i>If "Yes," explain in Part VI how the organization determined that the supported organization was described in section 509(a)(1) or (2).</i>		
3a	Did the organization have a supported organization described in section 501(c)(4), (5), or (6)? <i>If "Yes," answer lines 3b and 3c below.</i>		
b	Did the organization confirm that each supported organization qualified under section 501(c)(4), (5), or (6) and satisfied the public support tests under section 509(a)(2)? <i>If "Yes," describe in Part VI when and how the organization made the determination.</i>		
c	Did the organization ensure that all support to such organizations was used exclusively for section 170(c)(2)(B) purposes? <i>If "Yes," explain in Part VI what controls the organization put in place to ensure such use.</i>		
4a	Was any supported organization not organized in the United States ("foreign supported organization")? <i>If "Yes" and if you checked box 12a or 12b in Part I, answer lines 4b and 4c below.</i>		
b	Did the organization have ultimate control and discretion in deciding whether to make grants to the foreign supported organization? <i>If "Yes," describe in Part VI how the organization had such control and discretion despite being controlled or supervised by or in connection with its supported organizations.</i>		
c	Did the organization support any foreign supported organization that does not have an IRS determination under sections 501(c)(3) and 509(a)(1) or (2)? <i>If "Yes," explain in Part VI what controls the organization used to ensure that all support to the foreign supported organization was used exclusively for section 170(c)(2)(B) purposes.</i>		
5a	Did the organization add, substitute, or remove any supported organizations during the tax year? <i>If "Yes," answer lines 5b and 5c below (if applicable). Also, provide detail in Part VI, including (i) the names and EIN numbers of the supported organizations added, substituted, or removed; (ii) the reasons for each such action; (iii) the authority under the organization's organizing document authorizing such action; and (iv) how the action was accomplished (such as by amendment to the organizing document).</i>		
b	Type I or Type II only. Was any added or substituted supported organization part of a class already designated in the organization's organizing document?		
c	Substitutions only. Was the substitution the result of an event beyond the organization's control?		
6	Did the organization provide support (whether in the form of grants or the provision of services or facilities) to anyone other than (i) its supported organizations, (ii) individuals that are part of the charitable class benefited by one or more of its supported organizations, or (iii) other supporting organizations that also support or benefit one or more of the filing organization's supported organizations? <i>If "Yes," provide detail in Part VI.</i>		
7	Did the organization provide a grant, loan, compensation, or other similar payment to a substantial contributor (defined in section 4958(c)(3)(C)), a family member of a substantial contributor, or a 35% controlled entity with regard to a substantial contributor? <i>If "Yes," complete Part I of Schedule L (Form 990) .</i>		
8	Did the organization make a loan to a disqualified person (as defined in section 4958) not described on line 7? <i>If "Yes," complete Part I of Schedule L (Form 990).</i>		
9a	Was the organization controlled directly or indirectly at any time during the tax year by one or more disqualified persons, as defined in section 4946 (other than foundation managers and organizations described in section 509(a)(1) or (2))? <i>If "Yes," provide detail in Part VI.</i>		
b	Did one or more disqualified persons (as defined on line 9a) hold a controlling interest in any entity in which the supporting organization had an interest? <i>If "Yes," provide detail in Part VI.</i>		
c	Did a disqualified person (as defined on line 9a) have an ownership interest in, or derive any personal benefit from, assets in which the supporting organization also had an interest? <i>If "Yes," provide detail in Part VI.</i>		
10a	Was the organization subject to the excess business holdings rules of section 4943 because of section 4943(f) (regarding certain Type II supporting organizations, and all Type III non-functionally integrated supporting organizations)? <i>If "Yes," answer line 10b below.</i>		
b	Did the organization have any excess business holdings in the tax year? <i>(Use Schedule C, Form 4720, to determine whether the organization had excess business holdings).</i>		

Part IV Supporting Organizations (continued)

		Yes	No
11	Has the organization accepted a gift or contribution from any of the following persons?		
a	A person who directly or indirectly controls, either alone or together with persons described on lines 11b and 11c below, the governing body of a supported organization?		
b	A family member of a person described on 11a above?		
c	A 35% controlled entity of a person described on line 11a or 11b above? <i>If "Yes" to 11a, 11b, or 11c, provide detail in Part VI.</i>		

Section B. Type I Supporting Organizations

		Yes	No
1	Did the officers, directors, trustees, or membership of one or more supported organizations have the power to regularly appoint or elect at least a majority of the organization's directors or trustees at all times during the tax year? <i>If "No," describe in Part VI how the supported organization(s) effectively operated, supervised, or controlled the organization's activities. If the organization had more than one supported organization, describe how the powers to appoint and/or remove directors or trustees were allocated among the supported organizations and what conditions or restrictions, if any, applied to such powers during the tax year.</i>		
2	Did the organization operate for the benefit of any supported organization other than the supported organization(s) that operated, supervised, or controlled the supporting organization? <i>If "Yes," explain in Part VI how providing such benefit carried out the purposes of the supported organization(s) that operated, supervised or controlled the supporting organization.</i>		

Section C. Type II Supporting Organizations

		Yes	No
1	Were a majority of the organization's directors or trustees during the tax year also a majority of the directors or trustees of each of the organization's supported organization(s)? <i>If "No," describe in Part VI how control or management of the supporting organization was vested in the same persons that controlled or managed the supported organization(s).</i>		

Section D. All Type III Supporting Organizations

		Yes	No
1	Did the organization provide to each of its supported organizations, by the last day of the fifth month of the organization's tax year, (i) a written notice describing the type and amount of support provided during the prior tax year, (ii) a copy of the Form 990 that was most recently filed as of the date of notification, and (iii) copies of the organization's governing documents in effect on the date of notification, to the extent not previously provided?		
2	Were any of the organization's officers, directors, or trustees either (i) appointed or elected by the supported organization(s) or (ii) serving on the governing body of a supported organization? <i>If "No," explain in Part VI how the organization maintained a close and continuous working relationship with the supported organization(s).</i>		
3	By reason of the relationship described in line 2 above, did the organization's supported organizations have a significant voice in the organization's investment policies and in directing the use of the organization's income or assets at all times during the tax year? <i>If "Yes," describe in Part VI the role the organization's supported organizations played in this regard.</i>		

Section E. Type III Functionally-Integrated Supporting Organizations

1	Check the box next to the method that the organization used to satisfy the Integral Part Test during the year (see instructions) :		
a	☐ The organization satisfied the Activities Test. Complete line 2 below.		
b	☐ The organization is the parent of each of its supported organizations. Complete line 3 below.		
c	☐ The organization supported a governmental entity. Describe in Part VI how you supported a government entity (see instructions)		
2	Activities Test. Answer lines 2a and 2b below.		
a	Did substantially all of the organization's activities during the tax year directly further the exempt purposes of the supported organization(s) to which the organization was responsive? <i>If "Yes," then in Part VI identify those supported organizations and explain how these activities directly furthered their exempt purposes, how the organization was responsive to those supported organizations, and how the organization determined that these activities constituted substantially all of its activities.</i>	Yes	No
b	Did the activities described on line 2a, above constitute activities that, but for the organization's involvement, one or more of the organization's supported organization(s) would have been engaged in? <i>If "Yes," explain in Part VI the reasons for the organization's position that its supported organization(s) would have engaged in these activities but for the organization's involvement.</i>		
3	Parent of Supported Organizations. Answer lines 3a and 3b below.		
a	Did the organization have the power to regularly appoint or elect a majority of the officers, directors, or trustees of each of the supported organizations? <i>If "Yes" or "No," provide details in Part VI.</i>	Yes	No
b	Did the organization exercise a substantial degree of direction over the policies, programs and activities of each of its supported organizations? <i>If "Yes," describe in Part VI. the role played by the organization in this regard.</i>		

Part V **Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations**

- 1** ☐ Check here if the organization satisfied the Integral Part Test as a qualifying trust on Nov. 20, 1970 (*explain in **Part VI***). **See instructions.** All other Type III non-functionally integrated supporting organizations must complete Sections A through E.

Section A - Adjusted Net Income

(A) Prior Year

(B) Current Year
(optional)

- | | |
|---|----------|
| 1 Net short-term capital gain | 1 |
| 2 Recoveries of prior-year distributions | 2 |
| 3 Other gross income (see instructions) | 3 |
| 4 Add lines 1 through 3 | 4 |
| 5 Depreciation and depletion | 5 |
| 6 Portion of operating expenses paid or incurred for production or collection of gross income or for management, conservation, or maintenance of property held for production of income (see instructions) | 6 |
| 7 Other expenses (see instructions) | 7 |
| 8 Adjusted Net Income (subtract lines 5, 6 and 7 from line 4) | 8 |

Section B - Minimum Asset Amount

(A) Prior Year

(B) Current Year
(optional)

- | | |
|--|-----------|
| 1 Aggregate fair market value of all non-exempt-use assets (see instructions for short tax year or assets held for part of year): | 1 |
| a Average monthly value of securities | 1a |
| b Average monthly cash balances | 1b |
| c Fair market value of other non-exempt-use assets | 1c |
| d Total (add lines 1a, 1b, and 1c) | 1d |
| e Discount claimed for blockage or other factors (<i>explain in detail in Part VI</i>): | |
| 2 Acquisition indebtedness applicable to non-exempt use assets | 2 |
| 3 Subtract line 2 from line 1d | 3 |
| 4 Cash deemed held for exempt use. Enter 0.015 of line 3 (for greater amount, see instructions). | 4 |
| 5 Net value of non-exempt-use assets (subtract line 4 from line 3) | 5 |
| 6 Multiply line 5 by 0.035 | 6 |
| 7 Recoveries of prior-year distributions | 7 |
| 8 Minimum Asset Amount (add line 7 to line 6) | 8 |

Section C - Distributable Amount

Current Year

- | | |
|--|----------|
| 1 Adjusted net income for prior year (from Section A, line 8, Column A) | 1 |
| 2 Enter 85% of line 1 | 2 |
| 3 Minimum asset amount for prior year (from Section B, line 8, Column A) | 3 |
| 4 Enter greater of line 2 or line 3 | 4 |
| 5 Income tax imposed in prior year | 5 |
| 6 Distributable Amount. Subtract line 5 from line 4, unless subject to emergency temporary reduction (see instructions) | 6 |

- 7** ☐ Check here if the current year is the organization's first as a non-functionally-integrated Type III supporting organization (see instructions)

Part V Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations (continued)		
Section D - Distributions		Current Year
1	Amounts paid to supported organizations to accomplish exempt purposes	1
2	Amounts paid to perform activity that directly furthers exempt purposes of supported organizations, in excess of income from activity	2
3	Administrative expenses paid to accomplish exempt purposes of supported organizations	3
4	Amounts paid to acquire exempt-use assets	4
5	Qualified set-aside amounts (prior IRS approval required - provide details in Part VI)	5
6	Other distributions (describe in Part VI). See instructions	6
7	Total annual distributions. Add lines 1 through 6.	7
8	Distributions to attentive supported organizations to which the organization is responsive (provide details in Part VI). See instructions	8
9	Distributable amount for 2022 from Section C, line 6	9
10	Line 8 amount divided by Line 9 amount	10

Section E - Distribution Allocations (see instructions)	(i) Excess Distributions	(ii) Underdistributions Pre-2022	(iii) Distributable Amount for 2022
1	Distributable amount for 2022 from Section C, line 6		
2	Underdistributions, if any, for years prior to 2022 (reasonable cause required-- explain in Part VI). See instructions.		
3	Excess distributions carryover, if any, to 2022:		
a	From 2017.		
b	From 2018.		
c	From 2019.		
d	From 2020.		
e	From 2021.		
f	Total of lines 3a through e		
g	Applied to underdistributions of prior years		
h	Applied to 2022 distributable amount		
i	Carryover from 2017 not applied (see instructions)		
j	Remainder. Subtract lines 3g, 3h, and 3i from line 3f.		
4	Distributions for 2022 from Section D, line 7: \$		
a	Applied to underdistributions of prior years		
b	Applied to 2022 distributable amount		
c	Remainder. Subtract lines 4a and 4b from line 4.		
5	Remaining underdistributions for years prior to 2022, if any. Subtract lines 3g and 4a from line 2. If the amount is greater than zero, explain in Part VI . See instructions.		
6	Remaining underdistributions for 2022. Subtract lines 3h and 4b from line 1. If the amount is greater than zero, explain in Part VI. See instructions.		
7	Excess distributions carryover to 2023. Add lines 3j and 4c.		
8	Breakdown of line 7:		
a	Excess from 2018.		
b	Excess from 2019.		
c	Excess from 2020.		
d	Excess from 2021.		
e	Excess from 2022.		

Part VI **Supplemental Information.** Provide the explanations required by Part II, line 10; Part II, line 17a or 17b; Part III, line 12; Part IV, Section A, lines 1, 2, 3b, 3c, 4b, 4c, 5a, 6, 9a, 9b, 9c, 11a, 11b, and 11c; Part IV, Section B, lines 1 and 2; Part IV, Section C, line 1; Part IV, Section D, lines 2 and 3; Part IV, Section E, lines 1c, 2a, 2b, 3a and 3b; Part V, line 1; Part V, Section B, line 1e; Part V Section D, lines 5, 6, and 8; and Part V, Section E, lines 2, 5, and 6. Also complete this part for any additional information. (See instructions).

Facts And Circumstances Test

Return Reference	Explanation
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Additional Data

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Software ID:

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Schedule B (Form 990) Department of the Treasury Internal Revenue Service	Schedule of Contributors ▶ Attach to Form 990, 990-EZ, or 990-PF. ▶ Go to www.irs.gov/Form990 for the latest information.	OMB No. 1545-0047
		2022
Name of the organization BROCK UNIVERSITY		Employer identification number 98-0052780

Organization type (check one):

Filers of:	Section:
Form 990 or 990-EZ	☐ 501(c)() (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust not treated as a private foundation
	☐ 527 political organization
Form 990-PF	☐ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.
Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☐ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or other property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33¹/₃% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** \$5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year ▶ \$ _____

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Employer identification number
98-0052780

Contributors

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
RESTRICTED		\$ RESTRICTED	☐ Person
			☐ Payroll
			☐ Noncash (Complete Part II for noncash contributions.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	☐ Person
			☐ Payroll
			☐ Noncash (Complete Part II for noncash contributions.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	☐ Person
			☐ Payroll
			☐ Noncash (Complete Part II for noncash contributions.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	☐ Person
			☐ Payroll
			☐ Noncash (Complete Part II for noncash contributions.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	☐ Person
			☐ Payroll
			☐ Noncash (Complete Part II for noncash contributions.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	☐ Person
			☐ Payroll
			☐ Noncash (Complete Part II for noncash contributions.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	☐ Person
			☐ Payroll
			☐ Noncash (Complete Part II for noncash contributions.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	☐ Person
			☐ Payroll
			☐ Noncash (Complete Part II for noncash contributions.)

Name of organization
BROCK UNIVERSITY

Employer identification number
98-0052780

Part II **Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-		\$	

Name of organization BROCK UNIVERSITY	Employer identification number 98-0052780
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Part III Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ► \$ _____

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	

Additional Data

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Name of the organization BROCK UNIVERSITY	Employer identification number 98-0052780
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Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts.
Complete if the organization answered "Yes" on Form 990, Part IV, line 6.

	(a) Donor advised funds	(b) Funds and other accounts
1	Total number at end of year	
2	Aggregate value of contributions to (during year)	
3	Aggregate value of grants from (during year)	
4	Aggregate value at end of year	
5	Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control? ☐ Yes ☐ No	
6	Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit? ☐ Yes ☐ No	

Part II Conservation Easements.
Complete if the organization answered "Yes" on Form 990, Part IV, line 7.

1	Purpose(s) of conservation easements held by the organization (check all that apply). ☐ Preservation of land for public use (e.g., recreation or education) ☐ Preservation of an historically important land area ☐ Protection of natural habitat ☐ Preservation of a certified historic structure ☐ Preservation of open space	
2	Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year.	
a	Total number of conservation easements	2a
b	Total acreage restricted by conservation easements	2b
c	Number of conservation easements on a certified historic structure included in (a)	2c
d	Number of conservation easements included in (c) acquired after 7/25/06, and not on a historic structure listed in the National Register	2d
3	Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year ▶ _____	
4	Number of states where property subject to conservation easement is located ▶ _____	
5	Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds? ☐ Yes ☐ No	
6	Staff and volunteer hours devoted to monitoring, inspecting, handling of violations, and enforcing conservation easements during the year ▶ _____	
7	Amount of expenses incurred in monitoring, inspecting, handling of violations, and enforcing conservation easements during the year ▶ \$ _____	
8	Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)? ☐ Yes ☐ No	
9	In Part XIII, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements.	

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.
Complete if the organization answered "Yes" on Form 990, Part IV, line 8.

1a	If the organization elected, as permitted under FASB ASC 958, not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIII, the text of the footnote to its financial statements that describes these items.	
b	If the organization elected, as permitted under FASB ASC 958, to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items:	
(i)	Revenue included on Form 990, Part VIII, line 1	▶ \$ 22,658
(ii)	Assets included in Form 990, Part X	▶ \$
2	If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under FASB ASC 958 relating to these items:	
a	Revenue included on Form 990, Part VIII, line 1	▶ \$
b	Assets included in Form 990, Part X	▶ \$

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets (continued)

3

Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply):

a

☐ Public exhibition

d

☐ Loan or exchange programs

b

☒ Scholarly research

e

☐ Other

c

☐ Preservation for future generations

4

Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII.

5

During the year, did the organization solicit or receive donations of art, historical treasures or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection? . . .

☐ Yes

☒ No

Part IV Escrow and Custodial Arrangements.

Complete if the organization answered "Yes" on Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

1a

Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X?

☐ Yes

☐ No

b

If "Yes," explain the arrangement in Part XIII and complete the following table:

c

Beginning balance

d

Additions during the year

e

Distributions during the year

f

Ending balance

	Amount
1c	
1d	
1e	
1f	

2a

Did the organization include an amount on Form 990, Part X, line 21, for escrow or custodial account liability? . . .

☐ Yes

☐ No

b

If "Yes," explain the arrangement in Part XIII. Check here if the explanation has been provided in Part XIII

☐

Part V Endowment Funds.

Complete if the organization answered "Yes" on Form 990, Part IV, line 10.

	(a) Current year	(b) Prior year	(c) Two years back	(d) Three years back	(e) Four years back
1a Beginning of year balance	93,451,783	100,781,112	74,690,884	79,734,363	75,316,387
b Contributions	868,824	507,496	2,380,709	1,011,848	1,433,084
c Net investment earnings, gains, and losses	5,181,115	-1,786,788	15,215,846	-1,162,407	8,300,384
d Grants or scholarships	3,413,467	2,535,668	2,364,603	2,192,813	1,870,934
e Other expenditures for facilities and programs					
f Administrative expenses	-32,001	3,514,369	-10,858,276	2,700,107	3,444,558
g End of year balance	96,120,256	93,451,783	100,781,112	74,690,884	79,734,363

2

Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as:

a

Board designated or quasi-endowment ▶ 6.950 %

b

Permanent endowment ▶ 93.050 %

c

Term endowment ▶ 0 %

The percentages on lines 2a, 2b, and 2c should equal 100%.

3a

Are there endowment funds not in the possession of the organization that are held and administered for the organization by:

(i) Unrelated organizations

(ii) Related organizations

b

If "Yes" on 3a(ii), are the related organizations listed as required on Schedule R?

	Yes	No
3a(i)		No
3a(ii)		No
3b		

4

Describe in Part XIII the intended uses of the organization's endowment funds.

Part VI Land, Buildings, and Equipment.

Complete if the organization answered "Yes" on Form 990, Part IV, line 11a. See Form 990, Part X, line 10.

Description of property	(a) Cost or other basis (investment)	(b) Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a Land	2,397,308	39,205,654		41,602,962
b Buildings	1,650,737	443,872,772	175,480,931	270,042,578
c Leasehold improvements		88,174,735	53,874,129	34,300,606
d Equipment		58,813,656	33,916,247	24,897,409
e Other		52,548,730	47,419,976	5,128,754
Total. Add lines 1a through 1e. (Column (d) must equal Form 990, Part X, column (B), line 10(c).) . . . ▶				375,972,309

Schedule D (Form 990) 2021

Part VII

Investments - Other Securities.
Complete if the organization answered "Yes" on Form 990, Part IV, line 11b.See Form 990, Part X, line 12.

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1) Financial derivatives		
(2) Closely-held equity interests		
(3) Other _____		
(A)		
(B)		
(C)		
(D)		
(E)		
(F)		
(G)		
(H)		
Total. (Column (b) must equal Form 990, Part X, col. (B) line 12.)		

Part VIII

Investments - Program Related.
Complete if the organization answered 'Yes' on Form 990, Part IV, line 11c. See Form 990, Part X, line 13.

(a) Description of investment	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1)		
(2)		
(3)		
(4)		
(5)		
(6)		
(7)		
(8)		
(9)		
Total. (Column (b) must equal Form 990, Part X, col.(B) line 13.)		

Part IX

Other Assets.
Complete if the organization answered 'Yes' on Form 990, Part IV, line 11d. See Form 990, Part X, line 15.

(a) Description	(b) Book value
(1)	
(2)	
(3)	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
Total. (Column (b) must equal Form 990, Part X, col.(B) line 15.)	

Part X

Other Liabilities.
Complete if the organization answered 'Yes' on Form 990, Part IV, line 11e or 11f.
See Form 990, Part X, line 25.

1. (a) Description of liability	(b) Book value
(1) Federal income taxes	
(2)	
(3)	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
Total. (Column (b) must equal Form 990, Part X, col.(B) line 25.)	21,868,158

2. Liability for uncertain tax positions. In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC 740). Check here if the text of the footnote has been provided in Part XIII ☐

Part XI

Reconciliation of Revenue per Audited Financial Statements With Revenue per Return.

Complete if the organization answered 'Yes' on Form 990, Part IV, line 12a.

1	Total revenue, gains, and other support per audited financial statements	1	299,242,915
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12:		
a	Net unrealized gains (losses) on investments	2a	4,031,895
b	Donated services and use of facilities	2b	
c	Recoveries of prior year grants	2c	
d	Other (Describe in Part XIII.)	2d	7,768,810
e	Add lines 2a through 2d	2e	11,800,705
3	Subtract line 2e from line 1	3	287,442,210
4	Amounts included on Form 990, Part VIII, line 12, but not on line 1:		
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a	2,665,155
b	Other (Describe in Part XIII.)	4b	-3,186,919
c	Add lines 4a and 4b	4c	-521,764
5	Total revenue. Add lines 3 and 4c. (This must equal Form 990, Part I, line 12.)	5	286,920,446

Part XII

Reconciliation of Expenses per Audited Financial Statements With Expenses per Return.

Complete if the organization answered 'Yes' on Form 990, Part IV, line 12a.

1	Total expenses and losses per audited financial statements	1	290,056,393
2	Amounts included on line 1 but not on Form 990, Part IX, line 25:		
a	Donated services and use of facilities	2a	
b	Prior year adjustments	2b	
c	Other losses	2c	
d	Other (Describe in Part XIII.)	2d	3,186,919
e	Add lines 2a through 2d	2e	3,186,919
3	Subtract line 2e from line 1	3	286,869,474
4	Amounts included on Form 990, Part IX, line 25, but not on line 1:		
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a	2,665,155
b	Other (Describe in Part XIII.)	4b	
c	Add lines 4a and 4b	4c	2,665,155
5	Total expenses. Add lines 3 and 4c. (This must equal Form 990, Part I, line 18.)	5	289,534,629

Part XIII

Supplemental Information

Provide the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; Part V, line 4; Part X, line 2; Part XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provide any additional information.

Return Reference	Explanation
PART III, LINE 4:	CONTRIBUTED WORKS OF ART ARE RECORDED AS REVENUE AND EXPENSE, AT FAIR MARKET VALUE, AT THE DATE OF CONTRIBUTION. ARTWORK PURCHASES ARE EXPENSED AS ACQUIRED. THE CONTRIBUTED WORKS OF ART ENHANCE PROGRAM DELIVERY OF MANY DEGREE PROGRAMS (VISUAL ARTS, HISTORY, CLASSICS, COMPARATIVE LITERATURES & ARTS, AND MEDIEVAL AND RENAISSANCE STUDIES).
PART V, LINE 4:	THE ENDOWMENT FUNDS ARE RESTRICTED CONTRIBUTIONS RECEIVED BY THE UNIVERSITY AND UNRESTRICTED DONATIONS ARE INTERNALLY DESIGNATED BY THE BOARD OF TRUSTEES. THE PRINCIPAL IS REQUIRED TO BE MAINTAINED INTACT. THE INVESTMENT INCOME GENERATED FROM THE ENDOWMENT MUST BE USED IN ACCORDANCE WITH THE VARIOUS PURPOSES ESTABLISHED BY DONORS OR THE BOARD OF TRUSTEES.
PART XI, LINE 2D - OTHER ADJUSTMENTS:	ACTUARIAL GAIN/LOSS IN EMPLOYEE FUTURE BENEFITS 10,990,263. INTERNALLY ALLOCATED FOR ENDOWMENT SPENDING -3,221,453.
PART XI, LINE 4B - OTHER ADJUSTMENTS:	COST OF GOODS SOLD RELATED TO THE CAMPUS BOOKSTORE -3,186,919.
PART XII, LINE 2D - OTHER ADJUSTMENTS:	COST OF GOODS SOLD RELATED TO THE CAMPUS BOOKSTORE 3,186,919.
PART V, LINE 1F:	ADMINISTRATIVE EXPENSES INCLUDES THE ADJUSTMENT RELATED TO THE CHANGE IN THE FOREIGN EXCHANGE RATES FROM THE PRIOR YEAR TO CURRENT YEAR.

Additional Data

[Return to Form](#)

Software ID:

Software Version:

Part I

	YES	NO
1 Does the organization have a racially nondiscriminatory policy toward students by statement in its charter, bylaws, other governing instrument, or in a resolution of its governing body?	1 Yes	
2 Does the organization include a statement of its racially nondiscriminatory policy toward students in all its brochures, catalogues, and other written communications with the public dealing with student admissions, programs, and scholarships?	2 Yes	
3 Has the organization publicized its racially nondiscriminatory policy on its primary publicly accessible Internet homepage during its taxable year in a manner reasonably expected to be noticed by visitors to the homepage, or newspaper or broadcast media during the period of solicitation for students, or during the registration period if it has a solicitation program, in a way that makes the policy known to all parts of the general community it serves? If "Yes," describe. If "No," please explain. If you need more space use Part II.	3	No
4 Does the organization maintain the following?		
a Records indicating the racial composition of the student body, faculty, and administrative staff?	4a	No
b Records documenting that scholarships and other financial assistance are awarded on a racially nondiscriminatory basis?	4b	No
c Copies of all catalogues, brochures, announcements, and other written communications to the public dealing with student admissions, programs, and scholarships?	4c Yes	
d Copies of all material used by the organization or on its behalf to solicit contributions? If you answered "No" to any of the above, please explain. If you need more space, use Part II.	4d Yes	
5 Does the organization discriminate by race in any way with respect to:		
a Students' rights or privileges?	5a	No
b Admissions policies?	5b	No
c Employment of faculty or administrative staff?	5c	No
d Scholarships or other financial assistance?	5d	No
e Educational policies?	5e	No
f Use of facilities?	5f	No
g Athletic programs?	5g	No
h Other extracurricular activities? If you answered "Yes" to any of the above, please explain. If you need more space, use Part II.	5h	No
6a Does the organization receive any financial aid or assistance from a governmental agency?	6a Yes	
6b Has the organization's right to such aid ever been revoked or suspended? If you answered "Yes" to either line 6a or line 6b, explain on Part II.	6b	No
7 Does the organization certify that it has complied with the applicable requirements of sections 4.01 through 4.05 of Rev. Proc. 75-50, 1975-2 C.B. 587, as modified by Rev. Proc. 2019-22, 2019-22 I.R.B. 1260, covering racial nondiscrimination? If "No," explain on Part II.	7 Yes	

Part II

Supplemental Information.

Provide the explanations required by Part I, lines 3, 4d, 5h, 6b, and 7, as applicable. Also provide any other additional information. See instructions.

Return Reference	Explanation
SCHEDULE E, PART I, LINE 3	BROCK UNIVERSITY IS SUBJECT TO THE ONTARIO HUMANS RIGHTS CODE, WHICH IS A PROVINCIAL LAW FOR FREEDOM FROM DISCRIMINATION WHEREBY EVERY PERSON HAS A RIGHT TO EQUAL TREATMENT WITHOUT DISCRIMINATION BECAUSE OF RACE, ANCESTRY, PLACE OF ORIGIN, COLOUR, ETHNIC ORIGIN, CITIZENSHIP, SEX, SEXUAL ORIENTATION, AGE, MARTIAL STATUS, FAMILY STATUS OR DISABILITY. SEE HUMAN RIGHTS POLICY AVAILABLE ONLINE. (HTTPS://BROCKU.CA/HUMAN-RIGHTS/HUMAN-RIGHTS/POLICIES-REPORTS/). THE UNIVERSITY PUBLICIZES ITS NONDISCRIMINATORY POLICY ON ITS APPLICATIONS.
SCHEDULE E, PART I, LINE 4	LINE 4A - BROCK UNIVERSITY DOES NOT ENGAGE IN RACIALLY DISCRIMINATORY POLICIES. BROCK UNIVERSITY IS SUBJECT TO THE ONTARIO HUMAN RIGHTS CODE, WHICH IS A PROVINCIAL LAW FOR FREEDOM FROM DISCRIMINATION BECAUSE OF RACE, ANCESTRY, PLACE OF ORIGIN, COLOR, ETHNIC ORIGIN, CITIZENSHIP, SEX, SEXUAL ORIENTATION, AGE, MARITAL STATUS, FAMILY STATUS, OR DISABILITY. LINE 4B - BROCK UNIVERSITY DOES NOT ENGAGE IN RACIALLY DISCRIMINATORY POLICIES WHEN MAKING SCHOLARSHIP AND FINANCIAL ASSISTANCE DECISIONS. BROCK UNIVERSITY IS SUBJECT TO THE ONTARIO HUMAN RIGHTS CODE, WHICH IS A PROVINCIAL LAW FOR FREEDOM FROM DISCRIMINATION BECAUSE OF RACE, ANCESTRY, PLACE OF ORIGIN, COLOR, ETHNIC ORIGIN, CITIZENSHIP, SEX, SEXUAL ORIENTATION, AGE, MARITAL STATUS, FAMILY STATUS, OR DISABILITY.
SCHEDULE E, PART I, LINE 6	BROCK UNIVERSITY RECEIVES GRANTS FROM THE CANADIAN FEDERAL GOVERNMENT AND THE ONTARIO PROVINCIAL GOVERNMENT.
SCHEDULE E, PART I, LINE 7	BROCK UNIVERSITY CONDUCTED NSEE CANADA (NATIONAL SURVEY OF STUDENT ENGAGEMENT) IN 2023 IN ORDER TO ENSURE THAT IT IS ENROLLING MEANINGFUL NUMBERS OF RACIAL MINORITY GROUPS.

Additional Data

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SCHEDULE F
(Form 990)

Department of the Treasury
Internal Revenue Service

Name of the organization
BROCK UNIVERSITY

Statement of Activities Outside the United States

► Complete if the organization answered "Yes" to Form 990, Part IV, line 14b, 15, or 16.
► Attach to Form 990.
► Go to www.irs.gov/Form990 for instructions and the latest information.

OMB No. 1545-0047

2022

Open to Public Inspection

Employer identification number

98-0052780

Part I

General Information on Activities Outside the United States. Complete if the organization answered "Yes" on Form 990, Part IV, line 14b.

- 1 For grantmakers. Does the organization maintain records to substantiate the amount of its grants or other assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? ☒ Yes ☐ No
- 2 For grantmakers. Describe in Part V the organization's procedures for monitoring the use of its grants and other assistance outside the United States.
- 3 Activities per Region. (The following Part I, line 3 table can be duplicated if additional space is needed.)

(a) Region	(b) Number of offices in the region	(c) Number of employees, agents, and independent contractors in the region	(d) Activities conducted in region (by type) (such as, fundraising, program services, investments, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in the region	(f) Total expenditures for and investments in the region
(1) NORTH AMERICA - CANADA AND MEXICO, BUT NOT THE UNITED STATES	2	5,954	PROGRAM SERVICE EXPENSE	EDUCATION & RESEARCH	214,238,842
(2) NORTH AMERICA - CANADA AND MEXICO, BUT NOT THE UNITED STATES	0	0	MANAGEMENT AND GENERAL	EDUCATION & RESEARCH	52,566,720
(3) NORTH AMERICA - CANADA AND MEXICO, BUT NOT THE UNITED STATES	0	0	FUNDRAISING EXPENSES	EDUCATION & RESEARCH	1,959,087
(4) NORTH AMERICA - CANADA AND MEXICO, BUT NOT THE UNITED STATES	0	0	NON-US INVESTMENTS	INVESTMENT	155,258,934
(5) NORTH AMERICA - CANADA AND MEXICO, BUT NOT THE UNITED STATES	0	0	PROGRAM SERVICE EXPENSE	SCHOLARSHIP	15,534,377
(6) CENTRAL AMERICA AND THE CARIBBEAN - ANTIGUA & BARBUDA, ARUBA, BAHAMAS,	0	0	PROGRAM SERVICE EXPENSE	SCHOLARSHIP	245,220
(7) EAST ASIA AND THE PACIFIC - AUSTRALIA, BRUNEI, BURMA, CAMBODIA,	0	0	PROGRAM SERVICE EXPENSE	SCHOLARSHIP	604,846
(8) EUROPE (INCLUDING ICELAND & GREENLAND) - ALBANIA, ANDORRA, AUSTRIA, BELGIUM	0	0	PROGRAM SERVICE EXPENSE	SCHOLARSHIP	357,262
(9) MIDDLE EAST AND NORTH AFRICA - ALGERIA, SAUDI ARABIA, BAHRAIN, DJIBOUTI, EGYPT,	0	0	PROGRAM SERVICE EXPENSE	SCHOLARSHIP	940,147
(10) RUSSIA AND NEIGHBORING STATES - ARMENIA, AZERBAIJAN, BELARUS,	0	0	PROGRAM SERVICE EXPENSE	SCHOLARSHIP	183,193
(11) SOUTH AMERICA - ARGENTINA, BOLIVIA, BRAZIL, CHILE, COLUMBIA, ECUADOR,	0	0	PROGRAM SERVICE EXPENSE	SCHOLARSHIP	319,180
(12) SOUTH ASIA - AFGHANISTAN, BANGLADESH, BHUTAN, INDIA, MALDIVES, NEPAL,	0	0	PROGRAM SERVICE EXPENSE	SCHOLARSHIP	1,546,186
(13) SUB-SAHARAN AFRICA - ANGOLA, BENIN, BOTSWANA, BURKINA FASO,	0	0	PROGRAM SERVICE EXPENSE	SCHOLARSHIP	893,463
(14)					
(15)					
(16)					
(17)					
3a Sub-total	2	5,954			440,765,288
b Total from continuation sheets to Part I	0	0			3,882,169
c Totals (add lines 3a and 3b)	2	5,954			444,647,457

Part II **Grants and Other Assistance to Organizations or Entities Outside the United States.** Complete if the organization answered "Yes" on Form 990, Part IV, line 15, for any recipient who received more than \$5,000. Part II can be duplicated if additional space is needed.

1	(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of noncash assistance	(h) Description of noncash assistance	(i) Method of valuation (book, FMV, appraisal, other)
(1)									
(2)									
(3)									
(4)									
(5)									
(6)									
(7)									
(8)									
(9)									
(10)									
(11)									
(12)									
(13)									
(14)									
(15)									
(16)									

2 Enter total number of recipient organizations listed above that are recognized as charities by the foreign country, recognized as tax-exempt by the IRS, or for which the grantee or counsel has provided a section 501(c)(3) equivalency letter

3 Enter total number of other organizations or entities

Part III

Grants and Other Assistance to Individuals Outside the United States. Complete if the organization answered "Yes" on Form 990, Part IV, line 16.
Part III can be duplicated if additional space is needed.

(a) Type of grant or assistance	(b) Region	(c) Number of recipients	(d) Amount of cash grant	(e) Manner of cash disbursement	(f) Amount of noncash assistance	(g) Description of noncash assistance	(h) Method of valuation (book, FMV, appraisal, other)
(1) SCHOLARSHIP	NORTH AMERICA - CANADA AND MEXICO, BUT NOT THE UNITED STATES	7,909	15,534,377	CHEQUES, EFTS			
(2) SCHOLARSHIP	CENTRAL AMERICA AND THE CARIBBEAN - ANTIGUA & BARBUDA, ARUBA, BAHAMAS,	57	245,220	CHEQUES, EFTS			
(3) SCHOLARSHIP	EAST ASIA AND THE PACIFIC - AUSTRALIA, BRUNEI, BURMA, CAMBODIA,	190	604,846	CHEQUES, EFTS			
(4) SCHOLARSHIP	EUROPE (INCLUDING ICELAND & GREENLAND) - ALBANIA, ANDORRA, AUSTRIA, BELGIU	58	357,262	CHEQUES, EFTS			
(5) SCHOLARSHIP	MIDDLE EAST AND NORTH AFRICA - ALGERIA, SAUDI ARABIA, DJIBOUTI, EGYPT,	139	940,147	CHEQUES, EFTS			
(6) SCHOLARSHIP	RUSSIA AND NEIGHBORING STATES - ARMENIA, AZERBIJAN, BELARUS,	23	183,193	CHEQUES, EFTS			
(7) SCHOLARSHIP	SOUTH AMERICA - ARGENTINA, BOLIVIA, BRAZIL, CHILE, COLUMBIA, ECUADOR,	41	319,180	CHEQUES, EFTS			
(8) SCHOLARSHIP	SOUTH ASIA - AFGHANISTAN, BANGLADESH, BHUTAN, INDIA, MALDIVES, NEPAL,	490	1,546,186	CHEQUES, EFTS			
(9) SCHOLARSHIP	SUB-SAHARAN AFRICA - ANGOLA, BENIN, BOTSWANA, BURKINA FASO,	226	893,463	CHEQUES, EFTS			
(10)							
(11)							
(12)							
(13)							
(14)							
(15)							
(16)							
(17)							
(18)							

Part IV Foreign Forms

- 1

Was the organization a U.S. transferor of property to a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 926, Return by a U.S. Transferor of Property to a Foreign Corporation (see Instructions for Form 926)*

☐ Yes

☒ No
- 2

Did the organization have an interest in a foreign trust during the tax year? *If "Yes," the organization may be required to separately file Form 3520, Annual Return to Report Transactions with Foreign Trusts and Receipt of Certain Foreign Gifts, and/or Form 3520-A, Annual Information Return of Foreign Trust With a U.S. Owner (see Instructions for Forms 3520 and 3520-A; don't file with Form 990)*

☐ Yes

☒ No
- 3

Did the organization have an ownership interest in a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 5471, Information Return of U.S. Persons with Respect to Certain Foreign Corporations. (see Instructions for Form 5471)*

☐ Yes

☒ No
- 4

Was the organization a direct or indirect shareholder of a passive foreign investment company or a qualified electing fund during the tax year? *If "Yes," the organization may be required to file Form 8621, Information Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund. (see Instructions for Form 8621)*

☐ Yes

☒ No
- 5

Did the organization have an ownership interest in a foreign partnership during the tax year? *If "Yes," the organization may be required to file Form 8865, Return of U.S. Persons with Respect to Certain Foreign Partnerships (see Instructions for Form 8865)*

☐ Yes

☒ No
- 6

Did the organization have any operations in or related to any boycotting countries during the tax year? *If "Yes," the organization may be required to separately file Form 5713, International Boycott Report (see Instructions for Form 5713; don't file with Form 990).*

☒ Yes

☐ No

Supplemental Information

Provide the information required by Part I, line 2 (monitoring of funds); Part I, line 3, column (f) (accounting method; amounts of investments vs. expenditures per region); Part II, line 1 (accounting method); Part III (accounting method); and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information. See instructions.

[illegible]

Additional Data

Software ID:

Software Version:

Part I Fundraising Activities. Complete if the organization answered "Yes" on Form 990, Part IV, line 17.
Form 990-EZ filers are not required to complete this part.

- 1 Indicate whether the organization raised funds through any of the following activities. Check all that apply.
- a ☐ Mail solicitations

e ☐ Solicitation of non-government grants

b ☐ Internet and email solicitations

f ☐ Solicitation of government grants

c ☐ Phone solicitations

g ☐ Special fundraising events

d ☐ In-person solicitations
- 2a Did the organization have a written or oral agreement with any individual (including officers, directors, trustees or key employees listed in Form 990, Part VII) or entity in connection with professional fundraising services? ☐ Yes ☐ No
- b If "Yes," list the 10 highest paid individuals or entities (fundraisers) pursuant to agreements under which the fundraiser is to be compensated at least \$5,000 by the organization.

(i) Name and address of individual or entity (fundraiser)	(ii) Activity	(iii) Did fundraiser have custody or control of contributions?		(iv) Gross receipts from activity	(v) Amount paid to (or retained by) fundraiser listed in col. (i)	(vi) Amount paid to (or retained by) organization
		Yes	No			
1						
2						
3						
4						
5						
6						
7						
8						
9						
10						
Total ▶						

3 List all states in which the organization is registered or licensed to solicit contributions or has been notified it is exempt from registration or licensing.

Part II

Fundraising Events. Complete if the organization answered "Yes" on Form 990, Part IV, line 18, or reported more than \$15,000 of fundraising event contributions and gross income on Form 990-EZ, lines 1 and 6b. List events with gross receipts greater than \$5,000.

Revenue		(a)Event #1	(b) Event #2	(c)Other events	(d) Total events
		GOLF TOURNAMENT (event type)	HOMECOMING (event type)	3 (total number)	(add col. (a) through col. (c))
Revenue	1 Gross receipts	78,185	8,229	8,784	95,198
	2 Less: Contributions	51,259			51,259
	3 Gross income (line 1 minus line 2)	26,926	8,229	8,784	43,939
Direct Expenses	4 Cash prizes	8,327		1,945	10,272
	5 Noncash prizes			2,389	2,389
	6 Rent/facility costs	24,455		345	24,800
	7 Food and beverages		3,206	1,034	4,240
	8 Entertainment		3,428		3,428
	9 Other direct expenses	173	8,880	3,626	12,679
	10 Direct expense summary. Add lines 4 through 9 in column (d) ▶				57,808
	11 Net income summary. Subtract line 10 from line 3, column (d) ▶				-13,869

Part III

Gaming. Complete if the organization answered "Yes" on Form 990, Part IV, line 19, or reported more than \$15,000 on Form 990-EZ, line 6a.

Revenue		(a) Bingo	(b) Pull tabs/Instant bingo/progressive bingo	(c) Other gaming	(d) Total gaming (add col.(a) through col.(c))
Direct Expenses	1 Gross revenue				
	2 Cash prizes				
	3 Noncash prizes				
	4 Rent/facility costs				
	5 Other direct expenses				
	6 Volunteer labor	☐ Yes _____ % ☐ No	☐ Yes _____ % ☐ No	☐ Yes _____ % ☐ No	
	7 Direct expense summary. Add lines 2 through 5 in column (d) ▶				
	8 Net gaming income summary. Subtract line 7 from line 1, column (d) ▶				

9 Enter the state(s) in which the organization conducts gaming activities:_____

a Is the organization licensed to conduct gaming activities in each of these states? ☐ Yes ☐ No

b If "No," explain: _____

10a Were any of the organization's gaming licenses revoked, suspended or terminated during the tax year? . . . ☐ Yes ☐ No

b If "Yes," explain: _____

11

Does the organization conduct gaming activities with nonmembers?

☐ Yes ☐ No

12

Is the organization a grantor, beneficiary or trustee of a trust or a member of a partnership or other entity formed to administer charitable gaming?

☐ Yes ☐ No

13

Indicate the percentage of gaming activity conducted in:

a	The organization's facility	13a	%
b	An outside facility	13b	%

14

Enter the name and address of the person who prepares the organization's gaming/special events books and records:

Name ▶ -----

Address ▶ -----

15a

Does the organization have a contract with a third party from whom the organization receives gaming revenue?

☐ Yes ☐ No

b

If "Yes," enter the amount of gaming revenue received by the organization ▶ \$ _____ and the amount of gaming revenue retained by the third party ▶ \$ _____.

c

If "Yes," enter name and address of the third party:

Name ▶ -----

Address ▶ -----

16 Gaming manager information:

Name ▶ -----

Gaming manager compensation ▶ \$ -----

Description of services provided ▶ -----

☐ Director/officer

☐ Employee

☐ Independent contractor

17 Mandatory distributions:

a

Is the organization required under state law to make charitable distributions from the gaming proceeds to retain the state gaming license?

☐ Yes ☐ No

b

Enter the amount of distributions required under state law distributed to other exempt organizations or spent in the organization's own exempt activities during the tax year ▶ \$ _____

Part IV Supplemental Information. Provide the explanations required by Part I, line 2b, columns (iii) and (v); and Part III, lines 9, 9b, 10b, 15b, 15c, 16, and 17b, as applicable. Also provide any additional information. See Instructions.	
Return Reference	Explanation

Schedule I
(Form 990)

Department of the
Treasury
Internal Revenue Service

Grants and Other Assistance to Organizations,
Governments and Individuals in the United States

Complete if the organization answered "Yes," on Form 990, Part IV, line 21 or 22.
▶ Attach to Form 990.
▶ Go to www.irs.gov/Form990 for the latest information.

OMB No. 1545-0047

2022

Open to Public
Inspection

Name of the organization
BROCK UNIVERSITY

Employer identification number
98-0052780

Part I General Information on Grants and Assistance

- 1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? ☒ Yes ☐ No
- 2 Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States.

Part II Grants and Other Assistance to Domestic Organizations and Domestic Governments. Complete if the organization answered "Yes" on Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Part II can be duplicated if additional space is needed.

(a) Name and address of organization or government	(b) EIN	(c) IRC section (if applicable)	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of noncash assistance	(h) Purpose of grant or assistance
(1)							
(2)							
(3)							
(4)							
(5)							
(6)							
(7)							
(8)							
(9)							
(10)							
(11)							
(12)							

- 2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table ▶
- 3 Enter total number of other organizations listed in the line 1 table ▶

Part III

Grants and Other Assistance to Domestic Individuals. Complete if the organization answered "Yes" on Form 990, Part IV, line 22.
Part III can be duplicated if additional space is needed.

(a) Type of grant or assistance	(b) Number of recipients	(c) Amount of cash grant	(d) Amount of noncash assistance	(e) Method of valuation (book, FMV, appraisal, other)	(f) Description of noncash assistance
(1) SCHOLARSHIPS	3 2	146,108			
(1)					
(2)					
(3)					
(4)					
(5)					
(6)					
(7)					

Part IV

Supplemental Information. Provide the information required in Part I, line 2; Part III, column (b); and any other additional information.

Return Reference	Explanation
PART I, LINE 2:	GRANTS AND OTHER ASSISTANCE TO INDIVIDUALS IN THE US CONSISTS OF STUDENT AWARDS AND SCHOLARSHIPS PAID TO US STUDENTS. THE OFFICE OF THE REGISTRAR AND STUDENT AWARDS AND FINANCIAL AID ADMINISTERS AND MONITORS ALL AWARDS AND SCHOLARSHIPS.

Additional Data

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Part I Questions Regarding Compensation

1a Check the appropriate box(es) if the organization provided any of the following to or for a person listed on Form 990, Part VII, Section A, line 1a. Complete Part III to provide any relevant information regarding these items.

☐ First-class or charter travel

☒ Housing allowance or residence for personal use

☐ Travel for companions

☐ Payments for business use of personal residence

☐ Tax idemnification and gross-up payments

☐ Health or social club dues or initiation fees

☒ Discretionary spending account

☐ Personal services (e.g., maid, chauffeur, chef)

b If any of the boxes on Line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain

2 Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all directors, trustees, officers, including the CEO/Executive Director, regarding the items checked on Line 1a?

3 Indicate which, if any, of the following the filing organization used to establish the compensation of the organization's CEO/Executive Director. Check all that apply. Do not check any boxes for methods used by a related organization to establish compensation of the CEO/Executive Director, but explain in Part III.

☒ Compensation committee

☒ Written employment contract

☐ Independent compensation consultant

☒ Compensation survey or study

☐ Form 990 of other organizations

☒ Approval by the board or compensation committee

4 During the year, did any person listed on Form 990, Part VII, Section A, line 1a, with respect to the filing organization or a related organization:

a Receive a severance payment or change-of-control payment?

b Participate in, or receive payment from, a supplemental nonqualified retirement plan?

c Participate in, or receive payment from, an equity-based compensation arrangement?
If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III.

Only 501(c)(3), 501(c)(4), and 501(c)(29) organizations must complete lines 5-9.

5 For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of:

a The organization?

b Any related organization?
If "Yes," on line 5a or 5b, describe in Part III.

6 For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of:

a The organization?

b Any related organization?
If "Yes," on line 6a or 6b, describe in Part III.

7 For persons listed on Form 990, Part VII, Section A, line 1a, did the organization provide any nonfixed payments not described in lines 5 and 6? If "Yes," describe in Part III

8 Were any amounts reported on Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the initial contract exception described in Regulations section 53.4958-4(a)(3)? If "Yes," describe in Part III

9 If "Yes" on line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53.4958-6(c)?

	Yes	No
1b	Yes	
2	Yes	
4a		No
4b	Yes	
4c		No
5a		No
5b		No
6a		No
6b		No
7		No
8		No
9		

Part II

Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees. Use duplicate copies if additional space is needed.

For each individual whose compensation must be reported on Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii). Do not list any individuals that are not listed on Form 990, Part VII.

Note. The sum of columns (B)(i)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual.

(A) Name and Title		(B) Breakdown of W-2, 1099-MISC compensation, and/or 1099-NEC			(C) Retirement and other deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation in column (B) reported as deferred on prior Form 990
		(i) Base compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation				
1 DIRK DECLERCQ PROFESSOR	(i)	269,474	0	602	14,053	5,364	289,493	0
	(ii)	0	0	0	0	0	0	0
2 LYNN WELLS PROVOST & VP (UNTIL 10/31/2022)	(i)	238,458	0	24,294	14,053	5,378	282,183	0
	(ii)	0	0	0	0	0	0	0
3 MARTIN KUSY PROFESSOR	(i)	237,876	0	602	0	5,364	243,842	0
	(ii)	0	0	0	0	0	0	0
4 WALID BEN OMRANE PROFESSOR	(i)	203,116	0	970	12,878	5,364	222,328	0
	(ii)	0	0	0	0	0	0	0
5 ANTENEH AYANSO FACULTY TRUSTEE (AS OF 7/1/2022)	(i)	199,535	0	602	13,379	5,364	218,880	0
	(ii)	0	0	0	0	0	0	0
6 FAYEZ ELAYAN PROFESSOR	(i)	197,792	0	602	14,053	5,364	217,811	0
	(ii)	0	0	0	0	0	0	0
7 GREG FINN SPECIAL ADVISOR	(i)	193,181	0	485	14,053	5,364	213,083	0
	(ii)	0	0	0	0	0	0	0
8 EUGENE KACIAK PROFESSOR	(i)	205,097	0	602	0	5,364	211,063	0
	(ii)	0	0	0	0	0	0	0
9 TIM KENYON VICE-PRESIDENT, RESEARCH	(i)	185,847	0	485	14,053	5,378	205,763	0
	(ii)	0	0	0	0	0	0	0
10 KEN CHAN VICE-PRESIDENT, ADMIN	(i)	184,194	0	485	11,134	5,378	201,191	0
	(ii)	0	0	0	0	0	0	0
11 DON CYR FACULTY TRUSTEE	(i)	173,094	0	602	14,053	5,364	193,113	0
	(ii)	0	0	0	0	0	0	0
12 GARY LIBBEN PROFESSOR	(i)	166,156	0	602	13,931	5,364	186,053	0
	(ii)	0	0	0	0	0	0	0
13 JENS COORSSEN PROFESSOR	(i)	162,139	0	0	13,811	5,364	181,314	0
	(ii)	0	0	0	0	0	0	0
14 TOM DUNK PROFESSOR	(i)	158,973	0	602	13,526	5,364	178,465	0
	(ii)	0	0	0	0	0	0	0
15 MIRIAM RICHARDS FACULTY TRUSTEE (UNTIL 6/30/2022)	(i)	135,799	0	0	12,405	5,364	153,568	0
	(ii)	0	0	0	0	0	0	0

Part III **Supplemental Information**

Provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

Return Reference	Explanation
PART I, LINE 1A	DURING LYNN WELLS'S ROLE AS INTERIM PRESIDENT OF THE UNIVERSITY, THEY WERE PROVIDED HOUSING AND VEHICLE ALLOWANCES. THESE AMOUNTS FOR THE HOUSING ALLOWANCE AND VEHICLE ALLOWANCE ARE REPORTED IN COLUMN (B)(III). SOME ASSOCIATE VICE-PRESIDENTS HAVE A PROFESSIONAL ALLOWANCE ACCOUNT THAT IS USED FOR PROFESSIONAL DEVELOPMENT, TRAINING AND OTHER DISCRETIONARY SPENDING. EACH FACULTY MEMBER HAS A PROFESSIONAL EXPENSE REIMBURSEMENT ACCOUNT THAT IS USED FOR PROFESSIONAL DEVELOPMENT, ATTENDING CONFERENCES, TRAINING OR RESEARCH MATERIALS. THE AMOUNT RECEIVED EACH YEAR IS SET OUT IN THE COLLECTIVE AGREEMENT (COLLECTIVE AGREEMENTS - HUMAN RESOURCES (BROCKU.CA)).
PART I, LINE 4B	BROCK UNIVERSITY IS A CANADIAN INSTITUTION THAT IS GENERALLY NOT SUBJECT TO THE PROVISIONS OF INTERNAL REVENUE CODE SECTION 457. THE UNIVERSITY HAS A SUPPLEMENTAL RETIREMENT PLAN THAT IS AVAILABLE ONLY TO THE UNIVERSITY PRESIDENT AND IS SEPARATE FROM THE UNIVERSITY'S GENERAL PENSION PLAN. ACCORDINGLY, SCHEDULE J, PART I, LINE 4B HAS BEEN CHECKED YES, INDICATING THAT THE UNIVERSITY HAS THE EQUIVALENT OF A NONQUALIFIED SUPPLEMENTAL RETIREMENT PLAN. THE UNIVERSITY CONTRIBUTES TO THE PRESIDENT'S SUPPLEMENTAL RETIREMENT PLAN ACCOUNT ON JANUARY 31ST EVERY YEAR. THE AMOUNT OF COMPENSATION DEFERRED UNDER THIS PLAN HAS BEEN INCLUDED IN THE SCHEDULE J, PART II, COLUMN (C) AMOUNT FOR THE CURRENT PRESIDENT.
PART II:	THE AMOUNTS REPORTED ON PART II ARE THOSE AMOUNTS RENDERED TO THE UNVERSITY OUTSIDE OF THE UNITED STATES AND ARE NOT AMOUNTS REPORTED AS COMPENSATION TO FOREIGN PERSONS NOR FOR SERVICES REQUIRED TO BE REPORTED ON FORMS W-2, 1099, OR 1042-S.

Additional Data

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Software ID:
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Part I Types of Property				
	(a) Check if applicable	(b) Number of contributions or items contributed	(c) Noncash contribution amounts reported on Form 990, Part VIII, line 1g	(d) Method of determining noncash contribution amounts
1 Art—Works of art				
2 Art—Historical treasures				
3 Art—Fractional interests				
4 Books and publications	X		104,339	APPRAISED BY THE LIBRARY
5 Clothing and household goods				
6 Cars and other vehicles				
7 Boats and planes				
8 Intellectual property				
9 Securities—Publicly traded	X	2	11,529	FMV ON DATE OF DONATION
10 Securities—Closely held stock				
11 Securities—Partnership, LLC, or trust interests				
12 Securities—Miscellaneous				
13 Qualified conservation contribution—Historic structures				
14 Qualified conservation contribution—Other				
15 Real estate—Residential				
16 Real estate—Commercial				
17 Real estate—Other				
18 Collectibles				
19 Food inventory				
20 Drugs and medical supplies				
21 Taxidermy				
22 Historical artifacts	X	3	22,658	APPRAISED BY THIRD PARTY
23 Scientific specimens				
24 Archeological artifacts				
25 Other (MISCELLANEOUS ►)	X	4	1,130,231	APPRAISED BY THIRD P
26 Other (INSURANCE ►)	X	3	13,426	INSURANCE COMPANY RE
27 Other ► ()				
28 Other ► ()				
29 Number of Forms 8283 received by the organization during the tax year for contributions for which the organization completed Form 8283, Part IV, Donee Acknowledgement			29	
30a During the year, did the organization receive by contribution any property reported in Part I, lines 1 through 28, that it must hold for at least three years from the date of the initial contribution, and which isn't required to be used for exempt purposes for the entire holding period?				
b If "Yes," describe the arrangement in Part II.				
31 Does the organization have a gift acceptance policy that requires the review of any nonstandard contributions?				
32a Does the organization hire or use third parties or related organizations to solicit, process, or sell noncash contributions?				
b If "Yes," describe in Part II.				
33 If the organization didn't report an amount in column (c) for a type of property for which column (a) is checked, describe in Part II.				

Part II **Supplemental Information.** Provide the information required by Part I, lines 30b, 32b, and 33, and whether the organization is reporting in Part I, column (b), the number of contributions, the number of items received, or a combination of both. Also complete this part for any additional information.

Return Reference	Explanation
PART I, COLUMN (B):	THE NUMBER IN PART I COLUMN- (B) REPRESENTS THE NUMBER OF CONTRIBUTIONS RECEIVED.

Additional Data

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Return Reference	Explanation
FORM 990, PART I, LINE 1 & PART III, LINE 1:	BROCK FLOURISHES THROUGH THE SCHOLARLY, CREATIVE AND PROFESSIONAL ACHIEVEMENTS OF OUR STUDENTS, FACULTY AND STAFF. ALTHOUGH WE SHARE A COMMON PURPOSE, WE RECOGNIZE AND HONOUR KNOWLEDGE PURSUED THROUGH DIVERSE PERSPECTIVES AND APPROACHES. OUR ACADEMIC MISSION IS TO NURTURE AND SUPPORT OUR STUDENTS AND FACULTY IN THE DISCOVERY OF KNOWLEDGE THROUGH EXEMPLARY SCHOLARSHIP, TEACHING AND SERVICE. WE PROVIDE UNDERGRADUATE, GRADUATE AND PROFESSIONAL EDUCATION OF THE HIGHEST QUALITY. OUR GRADUATE PROGRAMS ENHANCE BROCK'S GROWING REPUTATION FOR EXCELLENCE IN TEACHING, RESEARCH, CREATIVITY AND INNOVATION. WE PROVIDE A TRANSFORMATIVE EXPERIENCE FOR OUR STUDENTS INSIDE AND OUTSIDE THE CLASSROOM BY HELPING TO DEVELOP THEIR FULL POTENTIAL AS EDUCATED AND ENGAGED CITIZENS IN LOCAL AND GLOBAL COMMUNITIES. BROCK GRADUATES GO OUT INTO THE WORLD AS LEADERS, WITH A TRACK RECORD OF ENTERING FULFILLING CAREERS ACROSS ALL DISCIPLINES. BROCK UNIVERSITY WORKS TO ENHANCE THE ECONOMIC, SOCIAL, CULTURAL AND INTELLECTUAL LIVES OF THE COMMUNITIES AROUND US, NIAGARA, ONTARIO, CANADA AND GLOBALLY, AND TO DEMONSTRATE THE VITAL WAYS IN WHICH WE CONTRIBUTE TO THE WELL-BEING AND BETTERMENT OF SOCIETY IN THE 21ST CENTURY.
FORM 990, PART I, LINE 5:	BROCK UNIVERSITY HAS NO EMPLOYEES IN THE UNITED STATES AND DOES NOT FILE A FORM W-3.
FORM 990, PART V, LINE 2A:	BROCK UNIVERSITY HAS NO EMPLOYEES IN THE UNITED STATES AND DOES NOT FILE A FORM W-3. ALL OF BROCK UNIVERSITY'S EMPLOYEES ARE CANADIAN RESIDENTS. THE UNIVERSITY REPORTS THESE EMPLOYEES ON CANADA FORM T4 SUMMARY, WHICH IS THE EQUIVALENT OF UNITED STATES' FEDERAL FORM W-3.
FORM 990, PART V, LINE 3B:	THE UNIVERSITY DOES NOT FILE A FORM 990-T TO REPORT UNRELATED BUSINESS TAXABLE INCOME BECAUSE NONE OF THE UNRELATED BUSINESS TAXABLE INCOME IS EFFECTIVELY CONNECTED WITH THE CONDUCT OF A TRADE OR BUSINESS WITHIN THE UNITED STATES, AND NONE OF THE UNRELATED BUSINESS TAXABLE INCOME IS DERIVED FROM SOURCES WITHIN THE UNITED STATES.
FORM 990, PART V, LINE 7:	THE UNIVERSITY MAY RECEIVE CONTRIBUTIONS FROM TAXPAYERS WHO CLAIM A TAX DEDUCTION ON THEIR US INCOME TAX RETURN. HOWEVER, SINCE THE UNIVERSITY IS AN ENTITY THAT IS ORGANIZED AND OPERATING IN CANADA, ANY TAX DEDUCTIONS CLAIMED ON A US INCOME TAX RETURN IS CLAIMED UNDER THE UNITED STATES-CANADA INCOME TAX TREATY AND NOT UNDER INTERNAL REVENUE CODE SECTION 170(C).
FORM 990, PART VI, SECTION B, LINE 11B	FORM 990 IS PREPARED AND REVIEWED BY FINANCIAL SERVICES. IT IS AVAILABLE TO ANY SENIOR ADMINISTRATOR OR BOARD OF TRUSTEE MEMBER UPON REQUEST. THE FORM 990 IS ALSO REVIEWED BY OUTSIDE TAX ADVISORS.
FORM 990, PART VI, SECTION B, LINE 12C	THE ASSOCIATE VICE-PRESIDENT OF PEOPLE AND CULTURE IS RESPONSIBLE FOR MAINTAINING COMPLIANCE WITH THE CONFLICT OF INTEREST POLICY (UNIVERSITY POLICIES (BROCKU.CA)). SENIOR MANAGEMENT MUST ENSURE THIS POLICY IS MADE KNOWN TO MEMBERS WITHIN THEIR RESPECTIVE UNITS. THE ASSOCIATE VICE-PRESIDENT OF PEOPLE AND CULTURE REPORTS ALL CONFIRMED CONFLICTS TO THE APPROPRIATE COMMITTEE OF THE BOARD OF TRUSTEES. THE BOARD OF TRUSTEES CONFLICT OF INTEREST POLICY IS AVAILABLE AT BOARD RESOURCES - UNIVERSITY SECRETARIAT (BROCKU.CA). THE CHAIR OF THE BOARD IS RESPONSIBLE FOR ENSURING COMPLIANCE AND DOES THIS BY REGULAR TRAINING OF BOARD MEMBERS ON CONFLICTS OF INTEREST AND BY ENSURING THERE IS A CALL FOR DISCLOSURE OF ANY CONFLICTS AT THE BEGINNING OF EACH BOARD, COMMITTEE AND SUBCOMMITTEE MEETING.
FORM 990, PART VI, SECTION B, LINE 15	ALL COMPENSATION FOR SENIOR EMPLOYEES IS MANAGED THROUGH THE PEOPLE AND CULTURE DEPARTMENT AND APPROVED BY THE HUMAN RESOURCES COMMITTEE OF THE BOARD OF TRUSTEES. COMPENSATION IS DETERMINED WITH CONSIDERATION OF RELEVANT COMPARATORS. DECISIONS ARE DOCUMENTED IN MEETING MINUTES, WHICH OUTLINE THE BOARD'S APPROVAL.
FORM 990, PART VI, SECTION C, LINE 19	THE UNIVERSITY'S BYLAWS, POLICIES AND FINANCIAL STATEMENTS ARE ALL AVAILABLE ON THE UNIVERSITY'S WEBSITE (WWW.BROCKU.CA).
FORM 990, PART XI, LINE 9:	INTERNALLY ALLOCATED FOR ENDOWMENT SPENDING -3,221,453. EFFECTS OF FOREIGN EXCHANGE RATES -11,590,293. PENSION OBLIGATION 10,990,263.

Additional Data

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